

2023 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F11000004267

Entity Name: LOCKWOOD ADVISORS, INC.

Current Principal Place of Business:

1800 AMERICAN BLVD.
SUITE 300 – POD D
PENNINGTON, NJ 08534

FILED
Apr 24, 2023
Secretary of State
8893431328CC

Current Mailing Address:

1800 AMERICAN BLVD.
SUITE 300 – POD D
PENNINGTON, NJ 08534 US

FEI Number: 23-2842975

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title ASST. SECRETARY
Name CHASE, AYERS
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title TREASURER
Name HLUSHAK, MICHAEL
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title PRESIDENT, DIRECTOR, COO
Name HEMPEL, JOEL
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR, CHAIRMAN
Name SHOLES, THOMAS P.
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR
Name CROWLEY, JAMES
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title SECRETARY
Name RICE, CRISTINA M.
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title VP
Name ANTHONY, DESTRO
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title ASSISTANT TREASURER - TAX
Name CHARLES, DOUMAR C.
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CRISTINA M. RICE

SECRETARY

04/24/2023

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title CHIEF INVESTMENT OFFICER
Name MATTHEW, FORESTER
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title VICE PRESIDENT - HUMAN RESOURCES
Name SUSAN, O'DONOVAN
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title VP
Name REZA, SARMASTI
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title VICE PRESIDENT - HUMAN RESOURCES
Name MARIANNE, THOMAS E.
Address 1800 AMERICAN BLVD.
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City-State-Zip: PENNINGTON NJ 08534

Title VP
Name VICTOR, ZUCZEK
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR
Name JOAN, SCHWARTZ
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title ASSISTANT TREASURER - TAX
Name VIVIAN, HERRERA M.
Address 1800 AMERICAN BLVD.
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City-State-Zip: PENNINGTON NJ 08534

Title ASSISTANT TREASURER - TAX
Name DENNIS, RIMKUNAS
Address 1800 AMERICAN BLVD.
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City-State-Zip: PENNINGTON NJ 08534

Title VP
Name KRISTINE, SCHWOK
Address 1800 AMERICAN BLVD.
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Title CHIEF COMPLIANCE OFFICER
Name NINA, WEISS
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534

Title DIRECTOR
Name BRENDAN, O'NEILL
Address 1800 AMERICAN BLVD.
SUITE 300 – POD D
City-State-Zip: PENNINGTON NJ 08534