

2014 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F09000000951

Entity Name: ADVISORS ASSET MANAGEMENT, INC.

Current Principal Place of Business:

18925 BASE CAMP RD STE 203
MONUMENT, CO 80132

Current Mailing Address:

18925 BASE CAMP RD STE 203
MONUMENT, CO 80132

FEI Number: 20-0532180

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

WILLIAMS, ANDREW
275 INDIES WAY #1701
NAPLES, FL 34110 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title CEO
Name COLYER, SCOTT
Address 18925 BASE CAMP RD STE 203
City-State-Zip: MONUMENT CO 80132

Title EXECUTIVE VICE PRESIDENT
Name COLYER, LISA
Address 18925 BASE CAMP RD STE 203
City-State-Zip: MONUMENT CO 80132

Title COO
Name SIMKIN, JACK
Address 7220 TRADE STREET, STE 310
City-State-Zip: SAN DIEGO CA 92121

Title PRESIDENT
Name WILLIAMS, ANDREW
Address 275 INDIES WAY #1701
City-State-Zip: NAPLES FL 34110

Title CHIEF COMPLIANCE OFFICER
Name WEBBER, JOHN
Address 28025 IH-10 WEST
City-State-Zip: BOERNE TX 78006

Title CFO
Name ROBERG, SCOTT
Address 18925 BASE CAMP RD STE 203
City-State-Zip: MONUMENT CO 80132

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: R SCOTT ROBERG

CFO

03/25/2014

Electronic Signature of Signing Officer/Director Detail

Date