2018 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F09000000951

Entity Name: ADVISORS ASSET MANAGEMENT, INC.

Current Principal Place of Business:

18925 BASE CAMP RD STE 203 MONUMENT, CO 80132

Current Mailing Address:

18925 BASE CAMP RD STE 203 MONUMENT, CO 80132

FEI Number: 20-0532180 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

WILLIAMS, ANDREW 2823 THISTLE WAY NAPLES, FL 34105 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

City-State-Zip:

Electronic Signature of Registered Agent

Date

FILED Apr 27, 2018

Secretary of State

CC6675371494

Officer/Director Detail:

EXECUTIVE VICE PRESIDENT Title CEO Title

COLYER, SCOTT Name COLYER, LISA Name

18925 BASE CAMP RD STE 203 Address 18925 BASE CAMP RD STE 203 Address

City-State-Zip: MONUMENT CO 80132 MONUMENT CO 80132 City-State-Zip:

Title **PRESIDENT** Title COO

Name WILLIAMS, ANDREW MCNAMARA, ROBERT Name Address 2823 THISTLE WAY Address 7 DEY ST.

STE 10000 NAPLES FL 34105 City-State-Zip: NEW YORK NY 10007 City-State-Zip:

Title **CFO**

Title CHIEF COMPLIANCE OFFICER Name OPIE, JEFFREY

Name WEBBER, JOHN Address 18925 BASE CAMP RD STE 203

Address 28025 IH-10 WEST City-State-Zip: MONUMENT CO 80132 BOERNE TX 78006

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JEFFREY OPIE

Electronic Signature of Signing Officer/Director Detail

CFO

Date