

**2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F09000000951

**FILED**  
**Mar 17, 2020**  
**Secretary of State**  
**2920398475CC**

**Entity Name:** ADVISORS ASSET MANAGEMENT, INC.

**Current Principal Place of Business:**

18925 BASE CAMP RD STE 203  
MONUMENT, CO 80132

**Current Mailing Address:**

18925 BASE CAMP RD STE 203  
MONUMENT, CO 80132

**FEI Number:** 20-0532180

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

FARRELL, CHARLES  
1499 W PALMETTO PARK RD  
STE 401  
BOCA RATON, FL 33486 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** CHARLES FARRELL

03/17/2020

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title CEO  
Name COLYER, SCOTT  
Address 18925 BASE CAMP RD STE 203  
City-State-Zip: MONUMENT CO 80132

Title EXECUTIVE VICE PRESIDENT  
Name COLYER, LISA  
Address 18925 BASE CAMP RD STE 203  
City-State-Zip: MONUMENT CO 80132

Title CHIEF COMPLIANCE OFFICER  
Name WEBBER, JOHN  
Address 28025 IH-10 WEST  
City-State-Zip: BOERNE TX 78006

Title CFO  
Name OPIE, JEFFREY  
Address 18925 BASE CAMP RD STE 203  
City-State-Zip: MONUMENT CO 80132

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** JEFFREY OPIE

CFO

03/17/2020

Electronic Signature of Signing Officer/Director Detail

Date