2019 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F09000000951

Entity Name: ADVISORS ASSET MANAGEMENT, INC.

Current Principal Place of Business:

18925 BASE CAMP RD STE 203 MONUMENT, CO 80132

Current Mailing Address:

18925 BASE CAMP RD STE 203 MONUMENT, CO 80132

FEI Number: 20-0532180 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

WILLIAMS, ANDREW 2823 THISTLE WAY NAPLES, FL 34105 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent Date

Officer/Director Detail:

Title CEO Title EXECUTIVE VICE PRESIDENT

Name COLYER, SCOTT Name COLYER, LISA

Address 18925 BASE CAMP RD STE 203 Address 18925 BASE CAMP RD STE 203

City-State-Zip: MONUMENT CO 80132 City-State-Zip: MONUMENT CO 80132

Title PRESIDENT Title CHIEF COMPLIANCE OFFICER

NameWILLIAMS, ANDREWNameWEBBER, JOHNAddress2823 THISTLE WAYAddress28025 IH-10 WESTCity-State-Zip:NAPLES FL 34105City-State-Zip:BOERNE TX 78006

Title CFO

Name OPIE, JEFFREY

Address 18925 BASE CAMP RD STE 203

City-State-Zip: MONUMENT CO 80132

SIGNATURE: JEFFREY OPIE

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

Electronic Signature of Signing Officer/Director Detail

CFO

06/12/2019

FILED Jun 12, 2019

Secretary of State

9943667028CC

Date