

**2013 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F09000000951

**FILED**  
**Mar 26, 2013**  
**Secretary of State**  
**CC7988903996**

**Entity Name:** ADVISORS ASSET MANAGEMENT, INC.

**Current Principal Place of Business:**

18925 BASE CAMP RD STE 203  
MONUMENT, CO 80132

**Current Mailing Address:**

18925 BASE CAMP RD STE 203  
MONUMENT, CO 80132

**FEI Number: 20-0532180**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

WILLIAMS, ANDREW  
275 INDIES WAY #1701  
NAPLES, FL 34110 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title            CEO  
Name            COLYER, SCOTT  
Address        18925 BASE CAMP RD STE 203  
City-State-Zip: MONUMENT CO 80132

Title            EXECUTIVE VICE PRESIDENT  
Name            COLYER, LISA  
Address        18925 BASE CAMP RD STE 203  
City-State-Zip: MONUMENT CO 80132

Title            COO  
Name            SIMKIN, JACK  
Address        7220 TRADE STREET, STE 310  
City-State-Zip: SAN DIEGO CA 92121

Title            PRESIDENT  
Name            WILLIAMS, ANDREW  
Address        275 INDIES WAY #1701  
City-State-Zip: NAPLES FL 34110

Title            CHIEF COMPLIANCE OFFICER  
Name            WEBBER, JOHN  
Address        28025 IH-10 WEST  
City-State-Zip: BOERNE TX 78006

Title            CFO  
Name            ROBERG, SCOTT  
Address        18925 BASE CAMP RD STE 203  
City-State-Zip: MONUMENT CO 80132

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: SCOTT ROBERG**

**CFO**

**03/26/2013**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date