2020 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F04000002914

Entity Name: BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.

FILED Feb 14, 2020 Secretary of State 2566585162CC

Current Principal Place of Business:

4201 42ND ST. NE SUITE 100

CEDAR RAPIDS, IA 52402

Current Mailing Address:

4201 42ND ST. NE SUITE 100

CEDAR RAPIDS, IA 52402 US

FEI Number: 42-1029773 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail:

Title DIRECTOR, CEO, CHAIRMAN,

PRESIDENT

Name BERTHEL, THOMAS J.

Address 4201 42ND ST. NE

SUITE 100

City-State-Zip: CEDAR RAPIDS IA 52410

Title TREASURER, DIRECTOR, CFO

Name BRENDENGEN, RONALD O.

Address 4201 42ND ST. NE

SUITE 100

City-State-Zip: CEDAR RAPIDS IA 52410

Title DIRECTOR

Name CHRISTOFFERSON, ANDREW

Address 4201 42ND ST. NE

SUITE 100

City-State-Zip: CEDAR RAPIDS IA 52410

Title EVP, DIRECTOR

Name MURPHY, RICHARD M.

Address 4201 42ND ST. NE

SUITE 100

City-State-Zip: CEDAR RAPIDS IA 52410

Title SECRETARY

Name SCHAUL, JOANNA

Address 4201 42ND ST. NE

SUITE 100

City-State-Zip: CEDAR RAPIDS IA 52410

Title VP, CONTROLLER

Name RUPP, BRIAN

Address 4201 42ND ST. NE

SUITE 100

City-State-Zip: CEDAR RAPIDS IA 52410

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOANNA SCHAUL

SECRETARY

02/14/2020