

**2021 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F02000005225

**Entity Name:** SEGAL ADVISORS, INC.

**Current Principal Place of Business:**

333 WEST 34TH STREET  
NEW YORK, NY 10001

**FILED**  
**Apr 03, 2021**  
**Secretary of State**  
**9772690485CC**

**Current Mailing Address:**

333 WEST 34TH STREET  
NEW YORK, NY 10001

**FEI Number: 13-2646110**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301-2525 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** \_\_\_\_\_

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title DIRECTOR, CHAIRMAN  
Name LOCICERO, JOSEPH A.  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

Title CHIEF COMPLIANCE OFFICER, VICE PRESIDENT  
Name DUMAS, TADASHI  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

Title SENIOR VICE PRESIDENT, CHIEF FINANCIAL OFFICER, TREASURER  
Name DIBARTOLO, RICARDO M.  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

Title SENIOR VICE PRESIDENT, SECRETARY  
Name GREENSPAN, STEVEN C.  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

Title DIRECTOR  
Name BENZ, JENNIFER  
Address 275 9TH STREET  
City-State-Zip: SAN FRANCISCO CA 94103

Title DIRECTOR  
Name BLUMENSTEIN, DAVID  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

Title DIRECTOR  
Name CROTTY, SUSAN  
Address 550 WASHINGTON BOULEVARD SUITE 900  
City-State-Zip: CHICAGO IL 60661

Title DIRECTOR  
Name LERNER, STUART H.  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: STEVEN C. GREENSPAN**

**SECRETARY**

**04/03/2021**

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title DIRECTOR, CHIEF EXECUTIVE OFFICER,  
PRESIDENT  
Name DEMAIRO, JOHN  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001

Title DIRECTOR  
Name SHERMAN, ANDREW  
Address 116 HUNTINGTON AVENUE  
8TH FLOOR  
City-State-Zip: BOSTON MA 02116

Title DIRECTOR  
Name FLICK, EILEEN  
Address 333 WEST 34TH STREET  
City-State-Zip: NEW YORK NY 10001