

2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 833944

Entity Name: BAL INVESTMENT & ADVISORY, INC.

Current Principal Place of Business:

555 CALIFORNIA ST
4TH FLOOR
SAN FRANCISCO, CA 94104

Current Mailing Address:

150 N COLLEGE ST
NC1-028-17-06
CHARLOTTE, NC 28255 US

FEI Number: 95-2928333

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title D/P
Name FLEISCHER, RICHARD R
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

Title SVP
Name PRITCHARD, JASON
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

Title D/TR
Name GAUTHIER, DAVID G
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

Title SEC
Name COSTAMAGNA, CHRISTINE M
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JASON PRITCHARD

SVP

04/23/2015

Electronic Signature of Signing Officer/Director Detail

Date