

**2016 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 833743

**FILED**  
**Jan 13, 2016**  
**Secretary of State**  
**CC0917987327**

**Entity Name:** COMMONWEALTH ANNUITY AND LIFE INSURANCE COMPANY

**Current Principal Place of Business:**

132 TURNPIKE ROAD  
SUITE 210  
SOUTHBOROUGH, MA 01772

**Current Mailing Address:**

132 TURNPIKE ROAD  
SUITE 210  
SOUTHBOROUGH, MA 01772

**FEI Number:** 04-6145677

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CHIEF FINANCIAL OFFICER  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title DIRECTOR, EVP

Name LEE, HANBEN K

Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET

City-State-Zip: NEW YORK NY 10007

Title VP, SIO AND CCO

Name WALLIN, MARGOT K

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP, CFO AND TREASURER

Name FOWLER, JOHN J

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP AND COO

Name VOLCY, JOEL

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title DIRECTOR, PRESIDENT AND CEO

Name VON MOLTKE, NICHOLAS H

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP AND ASSISTANT SECRETARY

Name SILVERMAN, SCOTT D

Address 132 TURNPIKE ROAD, SUITE 210

City-State-Zip: SOUTHBOROUGH MA 01772

Title DIRECTOR

Name LEVINE, ALLAN S

Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET

City-State-Zip: NEW YORK NY 10007

Title DIRECTOR

Name REDGATE, KATHLEEN M

Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET

City-State-Zip: NEW YORK NY 10007

**Continues on page 2**

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** NICHOLAS H. VON MOLTKE

**PRESIDENT AND CEO**

**01/13/2016**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title DIRECTOR  
Name SPENCER, RICHARD V  
Address 6400 HECK OF A HILL ROAD  
City-State-Zip: WILSON WY 83014

Title DIRECTOR  
Name REARDON, MICHAEL A  
Address 3200 SOUTHWEST FREEWAY  
SUITE 1300  
City-State-Zip: HOUSTON TX 77027

Title SVP  
Name HECHT, JONATHAN  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 01772

Title SVP AND CONTROLLER  
Name GROSSO, JANE S  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASST GC AND ASST SECRETARY  
Name JOHNSON, VIRGINIA H  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SENIOR VICE PRESIDENT, CFO, TREASURER  
Name FOWLER, JOHN J  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SENIOR VICE PRESIDENT  
Name SHERRILL, PHILIP  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name MACNEIL, JUSTIN  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP AND 38A-1 CHIEF COMPLIANCE OFFICER  
Name GIOIA, ELIZABETH  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP, ASSISTANT GENERAL COUNSEL,  
ASSISTANT SECRETARY  
Name KIMMERLING, KEVIN

Title DIRECTOR, EVP AND CIO  
Name DELLAERT, GILLES M  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

Title SVP  
Name HENDRY, BRIAN M  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title VP AND CHIEF ACTUARY  
Name EGAN, ROBERT J  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name WINAWER, ROBERT E  
Address 132 TURNPIKE ROAD, SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title EXECUTIVE VICE PRESIDENT,  
GENERAL COUNSEL, SECRETARY  
Name RAMOS, SAMUEL  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

Title SENIOR VICE PRESIDENT  
Name MISHRA, DEVA  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

Title VICE PRESIDENT AND PRODUCT  
ACTUARY  
Name LEAVEY, KEVIN  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name ROACH, JASON  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP, ASSISTANT GENERAL  
COUNSEL, ASSISTANT SECRETARY  
Name SILBER, GARY  
Address 7 WORLD TRADE CENTER  
250 GREENWICH STREET  
City-State-Zip: NEW YORK NY 10007

Title CRO  
Name CAI, PETER

Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name ANDERSON, CRAIG  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name BUONO, MARK  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name BAUER, KATHY  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772

Title SVP  
Name PENTIKIS, DEAN  
Address 132 TURNPIKE ROAD  
SUITE 210  
City-State-Zip: SOUTHBOROUGH MA 01772