

2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 831700

Entity Name: HSBC SECURITIES (USA), INC.

Current Principal Place of Business:

452 FIFTH AVENUE
TOWER 7
NEW YORK, NY 10018

FILED
Mar 25, 2015
Secretary of State
CC6566953182

Current Mailing Address:

452 FIFTH AVENUE
TOWER 7
NEW YORK, NY 10018 US

FEI Number: 13-2650272

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title P, DIRECTOR
Name NOLAN, PATRICK
Address 452 5TH AVE
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name STEFFENSEN, MARK
Address 452 FIFTH AVE
City-State-Zip: NEW YORK NY 10018

Title T
Name WHITE, SUZY
Address 452 5TH AVE
City-State-Zip: NEW YORK NY 10018

Title CCO
Name VERGA, ANTHONY
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name FLANIGAN, MATTHEW J III
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name KELLY, NIAL J
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title SECRETARY
Name WESTBROOK, CHRISTINE M
Address 10 EAST 40TH STREET
City-State-Zip: NEW YORK NY 10016

Title SR VICE PRESIDENT
Name GARCIA, JUAN L
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ANTHONY VERGA

CCO

03/25/2015

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title SR VICE PRESIDENT
Name THACKER, MONIQUE N
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name KELLY, JAMES P
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title CFO
Name LOMBARDO, STEVEN
Address 1 WEST 39TH STREET
City-State-Zip: NEW YORK NY 10018

Title ASST TREASURER
Name STIEGEL, JAMES S
Address 26525 N RIVERWOODS BLVD
City-State-Zip: METTAWA IL 60045

Title ASST SECRETARY
Name KUJAWA, HELEN
Address 95 WASHINGTON STREET
City-State-Zip: BUFFALO NY 14203

Title ASST. SECRETARY
Name PATEL, AURELIA M
Address 10 EAST 40TH STREET
City-State-Zip: NEW YORK NY 10016

Title VP
Name GIRON, STEPHANIE
Address 961 WEIGEL DRIVE
City-State-Zip: ELMHURST IL 60126

Title EX VICE PRESIDENT
Name IRELAND, ANDREW
Address 452 5TH AVENUE
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name MEISSNER, ALEXIS VASQUEZ
Address 8 EAST 40TH STREET
City-State-Zip: NEW YORK NY 10016

Title ASST. TREASURER
Name BEHNKE, RICK L
Address 26525 N RIVERWOODS BLVD
City-State-Zip: METTAWA IL 60045

Title SR VICE PRESIDENT
Name RAIMONDO, DANIEL
Address 1 WEST 39TH STREET
City-State-Zip: NEW YORK NY 10018

Title ASST SECRETARY
Name WEIGAND, FRANK
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title ASST. SECRETARY
Name SNELL, PAMELA
Address 95 WASHINGTON STREET
City-State-Zip: BUFFALO NY 14203

Title SR VICE PRESIDENT
Name DITONDO, DIANE
Address 2929 WALDEN AVE COLUMN C68
City-State-Zip: DEPEW NY 14042

Title SR VICE PRESIDENT
Name KRAEBEL, JEFFREY
Address 452 5TH AVENUE
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name DESCAMPS, DIDIER
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018