2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 831700

Entity Name: HSBC SECURITIES (USA), INC.

Current Principal Place of Business:

452 FIFTH AVENUE TOWER 7

NEW YORK, NY 10018

Current Mailing Address:

452 FIFTH AVENUE TOWER 7 NEW YORK, NY 10018 US

FEI Number: 13-2650272 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Mar 25, 2015

Secretary of State

CC6566953182

Officer/Director Detail:

P. DIRECTOR Title Title **DIRECTOR**

NOLAN, PATRICK Name Name STEFFENSEN, MARK

Address 452 5TH AVE Address 452 FIFTH AVE

NEW YORK NY 10018 City-State-Zip: NEW YORK NY 10018 City-State-Zip:

CCO Title Title Т

Name VERGA, ANTHONY Name WHITE, SUZY 452 FIFTH AVENUE Address 452 5TH AVE Address

TOWER 7 City-State-Zip: NEW YORK NY 10018

City-State-Zip: NEW YORK NY 10018

Title DIRECTOR Title DIRECTOR

Name FLANIGAN, MATTHEW J III Name KELLY, NIAL J

Address **452 FIFTH AVENUE** 452 FIFTH AVENUE Address TOWER 7

TOWER 7

City-State-Zip: NEW YORK NY 10018 City-State-Zip: NEW YORK NY 10018

Title **SECRETARY**

Name WESTBROOK, CHRISTINE M Name

GARCIA, JUAN L Address 10 EAST 40TH STREET 452 FIFTH AVENUE Address

TOWER 7 City-State-Zip: NEW YORK NY 10016

NEW YORK NY 10018 City-State-Zip:

SR VICE PRESIDENT

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

Title

03/25/2015 SIGNATURE: ANTHONY VERGA CCO

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued:

SR VICE PRESIDENT Title Title **DIRECTOR**

THACKER, MONIQUE N Name Name MEISSNER, ALEXIS VASQUEZ

Address **452 FIFTH AVENUE**

TOWER 7

City-State-Zip: NEW YORK NY 10018

Title DIRECTOR

Name KELLY, JAMES P

Address 452 FIFTH AVENUE

TOWER 7

NEW YORK NY 10018 City-State-Zip:

Title CFO

LOMBARDO, STEVEN Name 1 WEST 39TH STREET Address

City-State-Zip: NEW YORK NY 10018

Title ASST TREASURER Name STIEGEL, JAMES S

Address 26525 N RIVERWOODS BLVD

City-State-Zip: METTAWA IL 60045

ASST SECRETARY Title Name KUJAWA, HELEN

95 WASHINGTON STREET Address

City-State-Zip: **BUFFALO NY 14203**

Title ASST. SECRETARY PATEL, AURELIA M Name

10 EAST 40TH STREET Address

City-State-Zip: NEW YORK NY 10016

۷P Title

Name GIRON, STEPHANIE

Address 961 WEIGEL DRIVE

City-State-Zip: ELMHURST IL 60126

EX VICE PRESDIENT Title Name IRELAND, ANDREW

452 5TH AVENUE Address

City-State-Zip: NEW YORK NY 10018

Address 8 EAST 40TH STREET City-State-Zip: NEW YORK NY 10016

Title ASST. TREASURER

Name BEHNKE, RICK L

Address 26525 N RIVERWOODS BLVD

City-State-Zip: METTAWA IL 60045

Title SR VICE PRESIDENT Name RAIMONDO, DANIEL Address 1 WEST 39TH STREET City-State-Zip: NEW YORK NY 10018

Title **ASST SECRETARY** Name WEIGAND, FRANK Address 452 FIFTH AVENUE

TOWER 7

City-State-Zip: NEW YORK NY 10018

Title ASST. SECRETARY Name SNELL. PAMELA

Address 95 WASHINGTON STREET

City-State-Zip: **BUFFALO NY 14203**

Title SR VICE PRESIDENT

Name DITONDO, DIANE

Address 2929 WALDEN AVE COLUMN C68

City-State-Zip: DEPEW NY 14042

Title SR VICE PRESIDENT Name KRAEBEL, JEFFREY Address 452 5TH AVENUE

City-State-Zip: NEW YORK NY 10018

Title DIRECTOR

Name DESCAMPS, DIDIER Address 452 FIFTH AVENUE

TOWER 7

NEW YORK NY 10018 City-State-Zip: