

**2015 FOREIGN NOT FOR PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# P18691

**Entity Name:** FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.

**Current Principal Place of Business:**

1735 K STREET, NW  
WASHINGTON, DC 20006

**Current Mailing Address:**

9509 KEY WEST AVENUE  
ATTN: FINANCE/TAX  
ROCKVILLE, MD 20850 US

**FEI Number:** 53-0088710

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301-2525 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title CEO, PRESIDENT, DIRECTOR  
Name KETCHUM, RICHARD G  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title CFO, TREASURER, EVP  
Name DIGANCI, TODD T  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title SECRETARY, SVP  
Name ASQUITH, MARCIA E  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP  
Name AHERN, GREGORY  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP  
Name AXELROD, SUSAN F  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP  
Name BENNETT, J BRADLEY  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, CHIEF LEGAL OFFICER  
Name COLBY, ROBERT  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, CHIEF RISK OFFICER  
Name DI FLORIO, CARLO V  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** MARCIA E. ASQUITH

**SECRETARY**

**05/01/2015**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title OFFICER, SVP  
Name JOHNSON, TRACY  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP  
Name SELMAN, THOMAS M  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, SVP  
Name WALSH, GERALDINE M  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP  
Name JOACHIM, STEVEN A  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP, CIO  
Name RANDICH, STEVEN J  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OFFICER, EVP  
Name FUNKHOUSER, CAMERON  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title OTHER, EVP  
Name GIRA, THOMAS  
Address 1735 K STREET, NW  
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR  
Name BOWSHER, CHARLES A  
Address 4503 BOXWOOD ROAD  
City-State-Zip: BETHESDA MD 20816