2020 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M19000006091

Entity Name: DOUGHERTY & COMPANY LLC

Current Principal Place of Business:

90 S. 7TH STREET #4300

MINNEAPOLIS, MN 55402

Current Mailing Address:

90 S. 7TH STREET #4300 MINNEAPOLIS, MN 55402 US

- -,

FEI Number: 41-1883794 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND RD PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Apr 22, 2020

Secretary of State

2255676161CC

Authorized Person(s) Detail:

Title MEMBER

Name DOUGHERTY FINANCIAL GROUP LLC

Address 90 S. 7TH STREET

#4300

City-State-Zip: MINNEAPOLIS MN 55402

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

Electronic Signature of Signing Authorized Person(s) Detail

SIGNATURE: DOUGHERTY FINANCIAL GROUP LLC

MEMBER

04/22/2020

Date