2016 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT DOCUMENT# M12000006605

Entity Name: ONPOINTE ADVISORY AND FINANCIAL SERVICES LLC

Current Principal Place of Business:

2090 MARINA AVE PETALUMA, CA 94954

Current Mailing Address:

2090 MARINA AVE PETALUMA, CA 94954 US

FEI Number: 90-0804345

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US

Certificate of Status Desired: No

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Authorized Person(s) Detail :

Title	MGRM	Title	MGRM
Name	THE LEGACY ALLIANCE INC	Name	PITTS, R. PRESTON
Address	2090 MARINA AVE	Address	2090 MARINA AVE
City-State-Zip:	PETALUMA CA 94954	City-State-Zip:	PETALUMA CA 94954
Title	MGRM	Title	MGRM
Title Name	MGRM EAKEN, CHRIS	Title Name	MGRM ERTEL, FRANK

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CHRIS EAKEN

VICE PRESIDENT OF COMPLIANCE 04/21/2016

Date

Electronic Signature of Signing Authorized Person(s) Detail

Date

FILED Apr 21, 2016 Secretary of State CC1850484922