2015 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M12000006605

Entity Name: ONPOINTE ADVISORY AND FINANCIAL SERVICES LLC

FILED
Apr 27, 2015
Secretary of State
CC3220072710

Current Principal Place of Business:

2090 MARINA AVE PETALUMA, CA 94954

Current Mailing Address:

2090 MARINA AVE

PETALUMA, CA 94954 US

FEI Number: 90-0804345 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail:

Title MGRM Title MGRM

NameTHE LEGACY ALLIANCE INCNamePITTS, R. PRESTONAddress2090 MARINA AVEAddress2090 MARINA AVECity-State-Zip:PETALUMA CA 94954City-State-Zip:PETALUMA CA 94954

Title MGRM Title MGRM

NameEAKEN, CHRISNameERTEL, FRANKAddress2090 MARINA AVEAddress2090 MARINA AVECity-State-Zip:PETALUMA CA 94954City-State-Zip:PETALUMA CA 94954

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CHRIS EAKEN

VICE PRESIDENT OF COMPLIANCE

04/27/2015