

2013 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M12000006605

Entity Name: ONPOINTE ADVISORY AND FINANCIAL SERVICES LLC

Current Principal Place of Business:

2090 MARINA AVE
PETALUMA, CA 94954

Current Mailing Address:

2090 MARINA AVE
PETALUMA, CA 94954 US

FEI Number: 90-0804345

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail :

Title MGRM
Name THE LEGACY ALLIANCE INC
Address 2090 MARINA AVE
City-State-Zip: PETALUMA CA 94954

Title MGRM
Name PITTS, R. PRESTON
Address 2090 MARINA AVE
City-State-Zip: PETALUMA CA 94954

Title MGRM
Name EAKEN, CHRIS
Address 2090 MARINA AVE
City-State-Zip: PETALUMA CA 94954

Title MGRM
Name ERTEL, FRANK
Address 2090 MARINA AVE
City-State-Zip: PETALUMA CA 94954

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CHRIS EAKEN

**VP OF COMPLIANCE,
SECRETARY, TREASURER**

04/23/2013

Electronic Signature of Signing Authorized Person(s) Detail

Date