

2023 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M12000002615

Entity Name: BOREAL CAPITAL SECURITIES, LLC

Current Principal Place of Business:

1450 BRICKELL AVENUE, SUITE 2900
MIAMI, FL 33131

Current Mailing Address:

1450 BRICKELL AVENUE, SUITE 2900
MIAMI, FL 33131 US

FEI Number: 45-2581955

Certificate of Status Desired: Yes

Name and Address of Current Registered Agent:

PENELA, EDGAR R
1450 BRICKELL AVENUE, SUITE 2900
MIAMI, FL 33131 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: EDGAR R PENELA

02/28/2023

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail :

Title CEO
Name FRANCES , JOAQUIN
Address 1450 BRICKELL AVENUE, SUITE 2900
City-State-Zip: MIAMI FL 33131

Title CCO
Name ZENO, JOHN
Address 1450 BRICKELL AVENUE, SUITE 2900
City-State-Zip: MIAMI FL 33131

Title CFO
Name PENELA, EDGAR R
Address 1450 BRICKELL AVENUE, SUITE 2900
City-State-Zip: MIAMI FL 33131

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: EDGAR R PENELA

CFO

02/28/2023

Electronic Signature of Signing Authorized Person(s) Detail

Date