

**2023 FOREIGN LIMITED LIABILITY COMPANY AMENDED ANNUAL REPORT**

DOCUMENT# M12000002615

**Entity Name:** BOREAL CAPITAL SECURITIES, LLC

**Current Principal Place of Business:**

1450 BRICKELL AVENUE, SUITE 2900  
MIAMI, FL 33131

**Current Mailing Address:**

1450 BRICKELL AVENUE, SUITE 2900  
MIAMI, FL 33131 US

**FEI Number:** 45-2581955

**Certificate of Status Desired:** Yes

**Name and Address of Current Registered Agent:**

PENELA, EDGAR R  
1450 BRICKELL AVENUE, SUITE 2900  
MIAMI, FL 33131 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

SIGNATURE: EDGAR R PENELA

08/07/2023

Electronic Signature of Registered Agent

Date

**Authorized Person(s) Detail :**

Title CEO  
Name FRANCES , JOAQUIN  
Address 1450 BRICKELL AVENUE, SUITE 2900  
City-State-Zip: MIAMI FL 33131

Title CFO  
Name PENELA, EDGAR R  
Address 1450 BRICKELL AVENUE, SUITE 2900  
City-State-Zip: MIAMI FL 33131

Title CCO  
Name BOTERO, PETER  
Address 1450 BRICKELL AVENUE, SUITE 2900  
City-State-Zip: MIAMI FL 33131

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

SIGNATURE: EDGAR R PENELA

CFO

08/07/2023

Electronic Signature of Signing Authorized Person(s) Detail

Date