2016 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M12000001030

Entity Name: BNY MELLON CAPITAL MARKETS, LLC

Current Principal Place of Business:

225 LIBERTY STREET NEW YORK, NY 10286

Current Mailing Address:

225 LIBERTY STREET NEW YORK, NY 10286 US

FEI Number: 23-2384977 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Mar 29, 2016

Secretary of State

CC7414994415

Authorized Person(s) Detail :

Title MANAGER Title MANAGER

NameDONOVAN, TIMOTHYNameGARDINER, C. DELANEYAddress225 LIBERTY STREETAddress225 LIBERTY STREETCity-State-Zip:NEW YORK NY 10286City-State-Zip:NEW YORK NY 10286

Title MANAGER Title MANAGER

NameGAVIN, JOHN M. JR.NameKLINGER, DANIELAddress225 LIBERTY STREETAddress225 LIBERTY STREETCity-State-Zip:NEW YORK NY 10286City-State-Zip:NEW YORK NY 10286

Title MANAGER Title MANAGER

NameJACOBS, CHRISTOPHERNameDE MENOCAL, DANIEL C.Address225 LIBERTY STREETAddress225 LIBERTY STREETCity-State-Zip:NEW YORK NY 10286City-State-Zip: NEW YORK NY 10286

Title MANAGER Title MANAGER

NamePENN, JEAN DEREKNameGEARHART, JEFFREY S.Address225 LIBERTY STREETAddress225 LIBERTY STREETCity-State-Zip:NEW YORK NY 10286City-State-Zip:NEW YORK NY 10286

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TIMOTHY DONOVAN

Electronic Signature of Signing Authorized Person(s) Detail

MANAGER

03/29/2016

Date

Authorized Person(s) Detail Continued:

Title MANAGER

Name STRUMEYER, GARY M.
Address 225 LIBERTY STREET
City-State-Zip: NEW YORK NY 10286