2016 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000004906

Entity Name: RBC CAPITAL MARKETS, LLC

Current Principal Place of Business:

THREE WORLD FINANCIAL CENTER 200 VESEY STREET, 5TH FLOOR NEW YORK, NY 10281

Current Mailing Address:

THREE WORLD FINANCIAL CENTER 200 VESEY STREET, 5TH FLOOR NEW YORK, NY 10281

FEI Number: 41-1416330 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Apr 29, 2016

Secretary of State

CC9300913225

Authorized Person(s) Detail:

Title MANAGER Title MANAGER

Name JOHN, TAFT Name MAXWELL, TROY V
Address 60 SOUTH SIXTH STREET Address 200 BAY STREET

City-State-Zip: MINNEAPOLIS MN 55402 City-State-Zip: TORONTO ONTARIO M5J 2J5

Title MANAGER Title MANAGER

NameMCGREGOR, DOUGLAS ANameLIVELY, CLINTONAddress200 BAY STREETAddress200 VESEY STREETCity-State-Zip:TORONTO ONTARIO M5J 2J5City-State-Zip:NEW YORK NY 10281

Title MANAGER Title MANAGER

Name SAGISSOR, THOMAS Name TOBIN, CATHLEEN

Address 60 SOUTH SIXTH STREET Address 60 SOUTH SIXTH STREET

City-State-Zip: MINNEAPOLIS MN 55402 City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER Title AUTHORIZED PERSON

Name FLEMING, BLAIR Name LOUIS, ESTHER

Address 200 VESEY STREET Address THREE WORLD FINANCIAL CENTER

11TH FLOOR 200 VESEY STREET, 5TH FLOOR

City-State-Zip: NEW YORK NY 10281 City-State-Zip: NEW YORK NY 10281

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ESTHER LOUIS AUTHORIZED PERSON 04/29/2016

Electronic Signature of Signing Authorized Person(s) Detail

Date