

**2020 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT**

DOCUMENT# M10000004906

**Entity Name:** RBC CAPITAL MARKETS, LLC**Current Principal Place of Business:**THREE WORLD FINANCIAL CENTER  
200 VESEY STREET 5TH FLOOR  
NEW YORK, NY 10281**Current Mailing Address:**THREE WORLD FINANCIAL CENTER  
200 VESEY STREET 5TH FLOOR  
NEW YORK, NY 10281 US**FEI Number:** 41-1416330**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301-2525 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

**Authorized Person(s) Detail :**

Title MANAGER, DIRECTOR  
Name KACHENOURA, AHMED  
Address BROOKFIELD PLACE  
200 VESEY ST  
City-State-Zip: NEW YORK NY 10281

Title MANAGER, DIRECTOR  
Name THORNE, BRETT  
Address 60 S. SIXTH STREET  
19TH FLOOR  
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER, DIRECTOR  
Name DOWNIE, DAVID C  
Address 200 VESEY ST  
City-State-Zip: NEW YORK NY 10281

Title MANAGER, DIRECTOR  
Name THURLOW, JOHN  
Address 30 HUDSON STREET  
City-State-Zip: JERSEY CITY NJ 07302

Title MANAGER, DIRECTOR  
Name STOPNIK, MATTHEW  
Address BROOKFIELD PLACE  
200 VESEY ST  
City-State-Zip: NEW YORK NY 10281

Title CHIEF COMPLIANCE OFFICER  
Name TAYLOR, RYAN  
Address THREE WORLD FINANCIAL CENTER  
200 VESEY STREET 5TH FLOOR  
City-State-Zip: NEW YORK NY 10281

Title MANAGER, DIRECTOR  
Name SAGISSOR, THOMAS  
Address 60 S SIXTH STREET  
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER, DIRECTOR  
Name YU, JONATHAN  
Address 200 BAY STREET  
SOUTH TOWER 20TH FLOOR  
City-State-Zip: TORONTO ON M5J 2J5

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** ESTHER HELLWIG LOUIS**AUTHORIZED PERSON****04/20/2020**

Electronic Signature of Signing Authorized Person(s) Detail

Date

**Authorized Person(s) Detail Continued :**

Title MEMBER  
Name RB CM MEMBER CORP.  
Address THREE WORLD FINANCIAL CENTER  
200 VESEY STREET  
City-State-Zip: NEW YORK NY 10281

Title AUTHORIZED PERSON, SECRETARY  
Name LOUIS, ESTHER HELLWIG  
Address 30 HUDSON ST  
City-State-Zip: JERSEY CITY NJ 07302

Title MEMBER  
Name RBC USA HOLDCO CORPORATION  
Address THREE WORLD FINANCIAL CENTER  
200 VESEY STREET 5TH FLOOR  
City-State-Zip: NEW YORK NY 10281