2013 FOREIGN LIMITED LIABILITY COMPANY AMENDED ANNUAL REPORT

DOCUMENT# M10000004906

Entity Name: RBC CAPITAL MARKETS, LLC

FILED
Jun 12, 2013
Secretary of State
CC5506458861

Current Principal Place of Business:

THREE WORLD FINANCIAL CENTER 200 VESEY STREET, 5TH FLOOR NEW YORK, NY 10281

Current Mailing Address:

THREE WORLD FINANCIAL CENTER 200 VESEY STREET, 5TH FLOOR NEW YORK, NY 10281

FEI Number: 41-1416330 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail:

Title MANAGER Title MANAGER

Name JOHN, TAFT Name MAXWELL, TROY V
Address 60 SOUTH SIXTH STREET Address 200 BAY STREET

City-State-Zip: MINNEAPOLIS MN 55402 City-State-Zip: TORONTO ONTARIO M5J 2J5

Title MANAGER Title MANAGER

Name MCGREGOR, DOUGLAS A Name FREER, DEBORAH

Address 200 BAY STREET Address THREE WORLD FINANCIAL CENTER

200 VESEY STREET 5TH FLOOR

SECRETARY

City-State-Zip: TORONTO ONTARIO M5J 2J5 City-State-Zip: NEW YORK NY 10281

Title MANAGER

Name STANDISH, MARK A Name HELLWIG, ESTHER

Address THREE WORLD FINANCIAL CENTER
Address THREE WORLD FINANCIAL CENTER
Address THREE WORLD FINANCIAL CENTER

200 VESEY STREET 5TH FLOOR Address THREE WORLD FINANCIAL CENTER 200 VESEY STREET 5TH FLOOR

Title

City-State-Zip: NEW YORK NY 10281 City-State-Zip: NEW YORK NY 10281

Title MANAGER Title MANAGER

Name LIVELY, CLINTON Name SAGISSOR, THOMAS

Address THREE WORLD FINANCIAL CENTER

200 VESEY STREET 5TH FLOOR Address 60 SOUTH SIXTH STREET

City-State-Zip: NEW YORK NY 10281 City-State-Zip: MINNEAPOLIS MN 55402

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ESTHER S. HELLWIG

SECRETARY

06/12/2013

Authorized Person(s) Detail Continued:

MANAGER

Title

Title MANAGER Title MANAGER

Name TOBIN, CATHLEEN Name TAVOSO, RICHARD

Address 60 SOUTH SIXTH STREET Address THREE WORLD FINANCIAL CENTER 200 VESEY STREET 5TH FLOOR

City-State-Zip: MINNEAPOLIS MN 55402

City-State-Zip: NEW YORK NY 10281

Title MANAGER

Name ZIMMER, MARY

Address 60 SOUTH SIXTH STREET

Address 7 TUBER WORLD FINANCIAL CO

City-State-Zip: MINNEAPOLIS MN 55402

Address THREE WORLD FINANCIAL CENTER 200 VESEY STREET 5TH FLOOR

City-State-Zip: NEW YORK NY 10281

Name PLOTKIN, HOWARD Title MANAGER

Address THREE WORLD FINANCIAL CENTER Name DUBOIS, JULIE

200 VESEY STREET 5TH FLOOR Address THREE WORLD FINANCIAL CENTER

City-State-Zip: NEW YORK NY 10281 200 VESEY STREET 5TH FLOOR

City-State-Zip: NEW YORK NY 10281