

2016 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000004906

Entity Name: RBC CAPITAL MARKETS, LLC

Current Principal Place of Business:

THREE WORLD FINANCIAL CENTER
200 VESEY STREET, 5TH FLOOR
NEW YORK, NY 10281

Current Mailing Address:

THREE WORLD FINANCIAL CENTER
200 VESEY STREET, 5TH FLOOR
NEW YORK, NY 10281

FEI Number: 41-1416330

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail :

Title MANAGER
Name JOHN, TAFT
Address 60 SOUTH SIXTH STREET
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER
Name MAXWELL, TROY V
Address 200 BAY STREET
City-State-Zip: TORONTO ONTARIO M5J 2J5

Title MANAGER
Name MCGREGOR, DOUGLAS A
Address 200 BAY STREET
City-State-Zip: TORONTO ONTARIO M5J 2J5

Title MANAGER
Name LIVELY, CLINTON
Address 200 VESEY STREET
City-State-Zip: NEW YORK NY 10281

Title MANAGER
Name SAGISSOR, THOMAS
Address 60 SOUTH SIXTH STREET
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER
Name TOBIN, CATHLEEN
Address 60 SOUTH SIXTH STREET
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER
Name FLEMING, BLAIR
Address 200 VESEY STREET
 11TH FLOOR
City-State-Zip: NEW YORK NY 10281

Title AUTHORIZED PERSON
Name LOUIS, ESTHER
Address THREE WORLD FINANCIAL CENTER
 200 VESEY STREET, 5TH FLOOR
City-State-Zip: NEW YORK NY 10281

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ESTHER LOUIS

AUTHORIZED PERSON

04/29/2016

Electronic Signature of Signing Authorized Person(s) Detail

Date