

2020 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000004906

Entity Name: RBC CAPITAL MARKETS, LLC

Current Principal Place of Business:

THREE WORLD FINANCIAL CENTER
200 VESEY STREET 5TH FLOOR
NEW YORK, NY 10281

FILED
Apr 20, 2020
Secretary of State
7174796270CC

Current Mailing Address:

THREE WORLD FINANCIAL CENTER
200 VESEY STREET 5TH FLOOR
NEW YORK, NY 10281 US

FEI Number: 41-1416330

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail :

Title MANAGER, DIRECTOR
Name KACHENOURA, AHMED
Address BROOKFIELD PLACE
 200 VESEY ST
City-State-Zip: NEW YORK NY 10281

Title MANAGER, DIRECTOR
Name THORNE, BRETT
Address 60 S. SIXTH STREET
 19TH FLOOR
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER, DIRECTOR
Name DOWNIE, DAVID C
Address 200 VESEY ST
City-State-Zip: NEW YORK NY 10281

Title MANAGER, DIRECTOR
Name THURLOW, JOHN
Address 30 HUDSON STREET
City-State-Zip: JERSEY CITY NJ 07302

Title MANAGER, DIRECTOR
Name STOPNIK, MATTHEW
Address BROOKFIELD PLACE
 200 VESEY ST
City-State-Zip: NEW YORK NY 10281

Title CHIEF COMPLIANCE OFFICER
Name TAYLOR, RYAN
Address THREE WORLD FINANCIAL CENTER
 200 VESEY STREET 5TH FLOOR
City-State-Zip: NEW YORK NY 10281

Title MANAGER, DIRECTOR
Name SAGISSOR, THOMAS
Address 60 S SIXTH STREET
City-State-Zip: MINNEAPOLIS MN 55402

Title MANAGER, DIRECTOR
Name YU, JONATHAN
Address 200 BAY STREET
 SOUTH TOWER 20TH FLOOR
City-State-Zip: TORONTO ON M5J 2J5

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ESTHER HELLWIG LOUIS

AUTHORIZED PERSON

04/20/2020

Electronic Signature of Signing Authorized Person(s) Detail

Date

Authorized Person(s) Detail Continued :

Title MEMBER
Name RB CM MEMBER CORP.
Address THREE WORLD FINANCIAL CENTER
200 VESEY STREET
City-State-Zip: NEW YORK NY 10281

Title MEMBER
Name RBC USA HOLDCO CORPORATION
Address THREE WORLD FINANCIAL CENTER
200 VESEY STREET 5TH FLOOR
City-State-Zip: NEW YORK NY 10281

Title AUTHORIZED PERSON, SECRETARY
Name LOUIS, ESTHER HELLWIG
Address 30 HUDSON ST
City-State-Zip: JERSEY CITY NJ 07302