

**2016 FLORIDA PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 287814

**Entity Name:** SECURITIES MANAGEMENT AND RESEARCH, INC.

**Current Principal Place of Business:**

4201 42ND ST. NE  
SUITE 100  
CEDAR RAPIDS, IA 52410

**Current Mailing Address:**

4201 42ND ST. NE  
SUITE 100  
CEDAR RAPIDS, IA 52410 US

**FEI Number: 59-1145041**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301-2525 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title           DIRECTOR  
Name           BERTHEL, THOMAS J.  
Address        4201 42ND ST. NE  
                  SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title           PRESIDENT  
Name           MURPHY, RICHARD M.  
Address        4201 42ND ST. NE  
                  SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title           SECRETARY  
Name           SCHAUL, JOANNA  
Address        4201 42ND ST. NE  
                  SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title           DIRECTOR  
Name           WEGMANN, DANIEL P.  
Address        4201 42ND ST. NE  
                  SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title           TREASURER, DIRECTOR  
Name           BRENDENGEN, RONALD O.  
Address        4201 42ND ST. NE  
                  SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: JOANNA SCHAUL**

**SECRETARY**

**04/13/2016**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date