

2013 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 287814

Entity Name: SECURITIES MANAGEMENT AND RESEARCH, INC.

Current Principal Place of Business:

701 TAMA STREET, BLDG B
MARION, IA 52302

Current Mailing Address:

701 TAMA STREET, BLDG B
MARION, IA 52302 US

FEI Number: 59-1145041

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name BERTHEL, THOMAS J
Address 701 TAMA STREET, BLDG B
City-State-Zip: MARION IA 52302

Title PRES
Name MURPHY , RICHARD M
Address 701 TAMA STREET, BLDG B
City-State-Zip: MARION IA 52302

Title VP, SECRETARY
Name SCHAUL, JOANNA
Address 701 TAMA STREET, BLDG B
City-State-Zip: MARION IA 52302

Title TREASURER
Name WEGMANN, DANIEL P.
Address 701 TAMA STREET, BLDG B
City-State-Zip: MARION IA 52302

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: DANIEL P. WEGMANN

TREASURER

04/24/2013

Electronic Signature of Signing Officer/Director Detail

Date