

**2018 FLORIDA PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 287814

**FILED**  
**Feb 26, 2018**  
**Secretary of State**  
**CC0512844757**

**Entity Name:** SECURITIES MANAGEMENT AND RESEARCH, INC.

**Current Principal Place of Business:**

4201 42ND ST. NE  
SUITE 100  
CEDAR RAPIDS, IA 52410

**Current Mailing Address:**

4201 42ND ST. NE  
SUITE 100  
CEDAR RAPIDS, IA 52410 US

**FEI Number:** 59-1145041

**Certificate of Status Desired:** No

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301-2525 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** \_\_\_\_\_

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title DIRECTOR, CEO  
Name BERTHEL, THOMAS J.  
Address 4201 42ND ST. NE  
SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title SECRETARY  
Name SCHAUL, JOANNA  
Address 4201 42ND ST. NE  
SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title DIRECTOR  
Name WEGMANN, DANIEL P.  
Address 4201 42ND ST. NE  
SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title CFO, TREASURER, DIRECTOR  
Name BRENDENGEN, RONALD O.  
Address 4201 42ND ST. NE  
SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

Title VP  
Name DAVENPORT, SHELLEY  
Address 4201 42ND ST. NE  
SUITE 100  
City-State-Zip: CEDAR RAPIDS IA 52410

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** JOANNA SCHAUL

**SECRETARY**

**02/26/2018**

Electronic Signature of Signing Officer/Director Detail

Date