

**F15000004089**  
Florida Department of State  
Division of Corporations  
Electronic Filing Cover Sheet

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To: Division of Corporations  
Fax Number : (850)617-6383

From: Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (850)205-8842  
Fax Number : (850)878-5368

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**\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\***

Email Address: \_\_\_\_\_

**FOREIGN PROFIT/NONPROFIT CORPORATION**

**InvestCloud, Inc.**

Certificate of Status	0
Certified Copy	0
Page Count	06
Estimated Charge	\$70.00

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J. HARRIS

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Corporate Filing Menu

Help

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** InvestCloud, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Jeanne Davis

Name of Person

Credo LLP

Firm/Company

240 South Jackson Street, Suite 310

Address

Glendale, CA 91205

City/State and Zip code

jeanne@credolaw.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jeanne Davis

at ( 213 )

223.1809

Name of Person

Area Code

Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.**

**1. InvestCloud, Inc.**

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

**2. Delaware**

(State or country under the law of which it is incorporated)

**3. \_\_\_\_\_**

(FBI number, if applicable)

**4. May 17, 2013**

(Date of incorporation)

**5. Perpetual**

(Date of duration, if other than perpetual)

**6. January 1, 2015**

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

**7. 8800 Wilshire Blvd., Suite 200 Beverly Hills, CA 90211**

(Principal office address)

(Current mailing address, if different)

**8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)**

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324

(City)

(Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Tristan Emrich

Tristan Emrich, Asst Secy

(Registered agent's signature)

**10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.**

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11. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: John Wise

Address: 8800 Wilshire Blvd., Suite 200.

Beverly Hills, CA 90211

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Colin Closs

Address: 8800 Wilshire Blvd., Suite 200.

Beverly Hills, CA 90211

Director: J.P. Whelan

Address: c/o Kern Whelan Capital, LLC, One Letterman Drive, Building C, Main Floor, Suite 200

San Francisco, CA 94129

**B. OFFICERS**

President: Colin Closs

Address: 8800 Wilshire Blvd., Suite 200.

Beverly Hills, CA 90211

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: Colin Closs

Address: 8800 Wilshire Blvd., Suite 200. Beverly Hills, CA 90211

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. \_\_\_\_\_

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in § 817.155, F.S.

13. Colin Closs (President)

(Typed or printed name and capacity of person signing application)

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**11. Names and business address of officers and/or directors:**

**A. Directors**

**Director: Jack McDonald**

**Address: One Ferry Building, Suite 255, San Francisco, CA 94111**

**Director: Jonathan Bentley**

**Address: 5400 Palmetto Road, New Port Richey, FL 34652**

**Director: Vincent Addonizio**

**Address: c/o Regency Strategic Advisors, Inc. 312 Signature Court, Safety Harbor, FL 34695**

**Director: Bernard Horn**

**Address: Higher Cuttlesham Farm, Shepton Montague, Wincanton, BA9 8JR UK**

**B. Officers**

**Chief Financial Officer: Lionel Etrillard**

**Address: 8800 Wilshire Blvd., Suite 200, Beverly Hills, CA 90211**

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**TALLAHASSEE FLORIDA**

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE, DO HEREBY CERTIFY "INVESTCLOUD, INC." IS DULY  
INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD  
STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS  
OF THIS OFFICE SHOW, AS OF THE FIFTEENTH DAY OF SEPTEMBER, A.D.  
2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE  
BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE  
BEEN PAID TO DATE.



4807289 8300

SR# 20150128021

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 10064412

Date: 09-15-15