F14000000634

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only

W13-61756

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14 FEB 12 AM 8:56

02/13/14

FLORIDA DEPARTMENT OF STATE
Division of Corporations

February 3, 2014

PETER HUGHES, VP FINANCE *** 3rd CORRECTION ***
THE SAGE COLLEGES
65 1ST STREET
TROY, NY 12180

SUBJECT: THE SAGE COLLEGES, A NEW YORK NON-PROFIT CORPORATION
Ref. Number: W13000061756

We have received your document for THE SAGE COLLEGES, A NEW YORK NON-PROFIT CORPORATION and your check(s) totaling $87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

You failed to make the correction(s) requested in our previous letter.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

If you have any further questions concerning your document, please call (850) 245-6052.

Thomas Chang
Regulatory Specialist II
New Filing Section

Letter Number: 913A00025876

Received
1.13.14

FLORIDA DEPARTMENT OF STATE
Division of Corporations

December 30, 2013

PETER HUGHES, VP FINANCE *** 2ND CORRECTION ***
THE SAGE COLLEGES
65 1ST STREET
TROY, NY 12180

SUBJECT: THE SAGE COLLEGES
Ref. Number: W13000061756

We have received your document for THE SAGE COLLEGES and your check(s) totaling $87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

You failed to make the correction(s) requested in our previous letter.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

If you have any further questions concerning your document, please call (850) 245-6052.

Thomas Chang
Regulatory Specialist II
New Filing Section

Letter Number: 913A00025876

FLORIDA DEPARTMENT OF STATE
Division of Corporations

November 6, 2013

RECEIVED
NOV 19 2013
OFFICE OF
VP FINANCE & TREASURER

PETER HUGHES, VP FINANCE
THE SAGE COLLEGES
65 1ST STREET
TROY, NY 12180

SUBJECT: THE SAGE COLLEGES
Ref. Number: W13000061756

We have received your document for THE SAGE COLLEGES and your check(s) totaling $87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name must contain a word that will clearly indicate that it is a corporation. This word may be: CORPORATION, CORP., INCORPORATED, or INC. Sections 617.0401(1)(a) and 617.1506(1), Florida Statutes, prohibits the use of the word COMPANY or CO. in the name of a non-profit corporation.

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4) or 608.502(4), F.S., this office is required to collects a civil penalty of $1,000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report fees due this office.)

Florida law requires any business entity serving in the capacity of a registered agent to have an active registration or filing on our records.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6052.

Thomas Chang
Regulatory Specialist II
New Filing Section

Letter Number: 913A00025876

The Sage Colleges
Office of Institutional Advancement
65 1st Street
Troy, NY 12180
www.sage.edu

January 30, 2014

Thomas Chang
Regulatory Specialist II
New Filing Section
Florida Department of State
Division of Corporations
Clifton Bldg.
2661 Exec. Center Circle
Tallahassee, FL 32301

Dear Mr. Chang:

Enclosed please find the certificate of existence from New York State Education Department requested for the completion of our application.

Sincerely,

Melissa K. Komora, CFRE, CAP®
Vice President, Institutional Advancement

Encs.

# COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: The Sage Colleges
Name of Corporation — must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Not for Profit Corporation for Authorization to Conduct its Affairs in Florida", "Certificate of Existence", or "Certificate of Status" and check are submitted to register the above referenced not for profit corporation to conduct its affairs in Florida.

Please return all correspondence concerning this matter to the following:

Peter Hughes, VP Finance
Name of Person

The Sage Colleges
Firm/Company

65 1st Street
Address

Troy, NY 12180
City/State and Zip Code

hughep@sage.edu
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Peter Hughes at ( 518 ) 244-2095
Name of Person — Area Code& Daytime Telephone Number

MAILING ADDRESS:
New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET/COURIER
ADDRESS: New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ $70.00 Filing Fee

☐ $78.75 Filing Fee & Certificate of Status

☐ $78.75 Filing Fee Certified Copy

☑ $87.50 Filing Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 617.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN NOT FOR PROFIT CORPORATION FOR AUTHORIZATION TO CONDUCT ITS AFFAIRS IN THE STATE OF FLORIDA:*

1. The Sage Colleges, corporation
(Name of corporation: must include the word "INCORPORATED" or "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present. "Company" or "Co." may not be used as a corporate suffix by a nonprofit corporation.)

2. New York
(State or country under the law of which it is incorporated)

3. 14-1338488
(FEI number, if applicable)

4. May 17, 1928
(Date of Incorporation)

5. Perpetual
(Duration: Year corp. will cease to exist or "perpetual")

6. Upon qualification
(Date first conducted affairs in Florida if prior to registration. *See sections 617.1501 & 617.1502, F.S. to determine penalty liability.*)

7. 65 1st Street, Troy, NY 12180
(Principal office address)

65 1st Street, Troy, NY 12180
(Current mailing address)

8. Charitable gift annuity solicitation and donations
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box **NOT** acceptable)

Name: F. Joseph McMackin, III

Office Address: 4001 Tamiami Trail North, Suite 250

Naples (City), Florida 34103-3555 (Zip Code)

10. **Registered agent's acceptance:**
***Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.***

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors

A. DIRECTORS

Chairman: Donna Esteves

Address: The Sage Colleges, 65 First Street, Troy, NY 12180

Vice Chairman: Nelson Simons

Address: The Sage Colleges, 65 First Street, Troy, NY 12180

Director: See attached list of Trustees and Principal Salaried Executives

Address:

Director:

Address:

B. OFFICERS

President: Susan C. Scrimshaw

Address: The Sage Colleges, 65 First Street, Troy, NY 12180

Vice President: See attached list of Principal Salaried Executives

Address:

Secretary:

Address:

Treasurer:

Address:

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. SUSAN C. Scrimshaw, President
(Typed or printed name and capacity of person signing application)

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# List of Trustees and Principal Salaried Executives

**Lynn E. Abraham RSC '73**
Vice Chair, Board of Trustees
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**John Bellardini SGS '96**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Judith Campisi RSC '80**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Monica Cunningham RSC '70**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Kathryn Diamond RSC '60**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Ann Duffield**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Donna Esteves RSC '70**
Board Chair, Board of Trustees
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Jennifer Flather RSC '86**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Marilyn Miller Fowler RSC '69**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Eileén Witzman Goldblatt RSC '67**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**John P. Hicks**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Barry Ilberman**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

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# List of Trustees and Principal Salaried Executives

**Paul Jaeckle SGS '09**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Janet W. Jones RSC '68**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**A.Alexandra Jupin** RSC '67, SCA '65
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Frederick A. Miller**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**James E. Morley, Jr**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Deborah Onslow**
Secretary, Board of Trustees
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**James E. Prout, Esq., SGS '87**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Susan C. Scrimshaw**
President, The Sage Colleges
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

**Ronnye Berg Shamam, RSC '64**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Nelson (Tip) Simons**
Vice Chair, Board of Trustees
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Laura Thalmann Warner** RSC '75
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

**Robert Zemsky**
Trustee
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: No

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# List of Trustees and Principal Salaried Executives

**Salaried Personnel**

**Patricia Cellemme**
Vice President Student Life
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

**Peter Hughes**
Vice President, Finance/Treasurer
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

**Melissa Komora**
Vice President, Institutional Advancement
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

**Daniel Lundquist**
Vice President, Marketing and Enrollment Management
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

**Terry Weiner**
Provost
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

**Deirdre Zarrillo**
Vice President, Administration and Planning
The Sage Colleges
65 First Street, Troy, NY 12180
518-244-2311
Compensation: Yes

14 FEB 12 AM 8:56

The University of the State of New York

Education Department

STATE OF NEW YORK )
: ss.:
COUNTY OF ALBANY )

I, Richard J. Trautwein, Counsel and Deputy Commissioner for Legal Affairs for the New York State Education Department, hereby certify that The Sage Colleges, located in the city of Troy, county of Rensselaer, state of New York, was incorporated by action of the Board of Regents by the issuance of a provisional charter under the corporate name "Russell Sage College" on December 29, 1927; that such provisional charter was made absolute by Regents action on May 17, 1928; that such absolute charter was amended by Regents action on March 17, 1995 to change the corporate name to "The Sage Colleges"; December 20, 2001 to replace the "Sage Junior College of Albany" and the "Sage Evening College" with a single entity, the "Sage College of Albany"; that the period for which such corporation was created is perpetual; that no certificate or order of dissolution of such corporation has been filed or issued; and that such corporation is currently authorized to do business in the State of New York.

IN WITNESS WHEREOF, I hereunto set my hand and affix the seal of the University of the State of New York and of the State Education Department at the City of Albany, New York on this 24th day of Janu, 2014.

Richard J. Trautwein
Counsel and Deputy Commissioner
For Legal Affairs

14 FEB 12 AM 9:55