

2011 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000004906

FILED
Mar 31, 2011
Secretary of State

Entity Name: RBC CAPITAL MARKETS, LLC

Current Principal Place of Business:

ONE LIBERTY PLAZA
165 BROADWAY, 5TH FLOOR
NEW YORK, NY 10006

New Principal Place of Business:

THREE WORLD FINANCIAL CENTER
200 VESEY STREET, 5TH FLOOR
NEW YORK, NY 10281

Current Mailing Address:

ONE LIBERTY PLAZA
165 BROADWAY, 5TH FLOOR
NEW YORK, NY 10006

New Mailing Address:

THREE WORLD FINANCIAL CENTER
200 VESEY STREET, 5TH FLOOR
NEW YORK, NY 10281

FEI Number: 41-1416330

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGR
Name: JOHN, TAFT
Address: 60 SOUTH SIXTH STREET
City-St-Zip: MINNEAPOLIS, MN 55402

Title: MGR
Name: CATHLEEN, TOBIN B
Address: 60 SOUTH SIXTH STREET
City-St-Zip: MINNEAPOLIS, MN 55402

Title: MGR
Name: MAXWELL, TROY V
Address: 200 BAY STREET TORONTO, ONTARIO
City-St-Zip: CANADA M5J 2J5,

Title: MGR
Name: MCGREGOR, DOUGLAS A
Address: 200 BAY STREET TORONTO, ONTARIO
City-St-Zip: CANADA M5J 2J5,

Title: MGR
Name: OSMAR, BRYAN K
Address: 200 BAY STREET TORONTO, ONTARIO
City-St-Zip: CANADA M5J 2J5,

Title: MGR
Name: STANDISH, MARK A
Address: 3 WORLD FINANCIAL CENTER, 200 VESEY ST
City-St-Zip: NEW YORK, NY 10281

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: ESTHER S. HELLWIG, ASSISTANT SECRETARY

AS

03/31/2011

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date

M10000004906

Florida Department of State
Division of Corporations
Annual LLC Filing

Document Number: M10000004906
Business Entity Name: RBC Capital Markets, LLC
EIN Number: 41-1416330

Additional Managers/Member

Managers:

Thomas Sagissor
60 South Sixth Street
Minneapolis, MN 55402

Richard J. Tavoso
Three World Financial Center
200 Vesey Street
New York, NY 10281

Mary E. Zimmer
60 South Sixth Street
Minneapolis, MN 55402

Member:

RBC USA Holdco Corporation
Three World Financial Center
200 Vesey Street
New York, NY 10281