

# 2008 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M05000000921

Entity Name: UPRR SECURITIES, LLC

FILED  
Mar 25, 2008  
Secretary of State

## Current Principal Place of Business:

450 7TH AVENUE  
SUITE 1300  
NEW YORK, NY 10123 US

## New Principal Place of Business:

## Current Mailing Address:

450 7TH AVENUE  
SUITE 1300  
NEW YORK, NY 10123 US

## New Mailing Address:

FEI Number: 13-4285196

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 323012525 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

## MANAGING MEMBERS/MANAGERS:

Title: MGR ( ) Delete  
Name: CHAGRIN, STUART  
Address: 80 BROAD STREET, 8TH FLOOR  
City-St-Zip: NEW YORK, NY 10123

Title: MGR ( ) Delete  
Name: FITZSIMMONS, PHILIP  
Address: 450 7TH AVENUE, SUITE 1300  
City-St-Zip: NEW YORK, NY 10123

Title: MGR ( ) Delete  
Name: MILLER, PETER  
Address: 450 7TH AVENUE, SUITE 1300  
City-St-Zip: NEW YORK, NY 10123

## ADDITIONS/CHANGES:

Title: MGR (X) Change ( ) Addition  
Name: CHAGRIN, STUART J  
Address: 80 BROAD STREET, 8TH FLOOR  
City-St-Zip: NEW YORK, NY 10123

Title: MGR (X) Change ( ) Addition  
Name: FITZSIMMONS, PHILIP R  
Address: 450 7TH AVENUE, SUITE 1300  
City-St-Zip: NEW YORK, NY 10123

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: PHILLIP R FITZSIMMONS

MGR

03/25/2008

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date