

F0700000036043

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

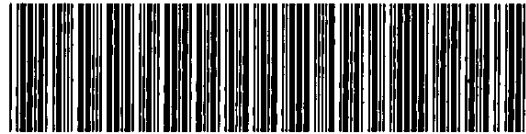
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Franklin Templeton Financial Services Corp.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mark G. Dunbar

(Name of Person)

Franklin Templeton Companies, LLC

(Firm/Company)

One Franklin Parkway

(Address)

San Mateo, California 94403

(City/State and Zip code)

For further information concerning this matter, please call:

Mark G. Dunbar

(Name of Person)

at (650) 312-5635

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☒ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: William Y. Yun

Address: 600 Fifth Avenue
New York, NY 10020

Vice Chairman: _____

Address: _____

Director: Vijay C. Advani

Address: One Franklin Parkway
San Mateo, California 94403

Director: Charles D. Troiano

Address: 600 Fifth Avenue
New York, NY 10020

B. OFFICERS

President: Kent P. Strazza

Address: One Franklin Parkway
San Mateo, California 94403

Vice President: _____

Address: _____

Secretary: Steven Gray

Address: One Franklin Parkway, San Mateo, California 94403

Treasurer: Kenneth A. Lewis

Address: One Franklin Parkway, San Mateo, California 94403

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

(Signature of Director or Officer listed in number 12 of the application)

14. Steven Gray, Secretary

(Typed or printed name and capacity of person signing application)

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Franklin Templeton Financial Services Corp.

**Attachment to
Application by Foreign Corporation for
Authorization to Transact Business in Florida**

List of Additional Officers

| <u>Name</u> | <u>Title</u> | <u>Business Address</u> |
|-----------------------|--------------------------|--|
| Michael J. Corcoran | Chief Financial Officer | One Franklin Parkway San Mateo, CA 94403-1906 |
| Robert Lim | Chief Compliance Officer | One Franklin Parkway San Mateo, CA 94403-1906 |
| Charles Reed Hutchens | Senior Vice President | 500 East Broward Boulevard Fort Lauderdale, FL 33394-3091 |
| Eric C. Metallo | Assistant Secretary | 500 Fifth Avenue New York, NY 10020 |

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State of New York
Department of State } ss:

I hereby certify, that the Certificate of Incorporation of FRANKLIN TEMPLETON FINANCIAL SERVICES CORP. was filed on 03/14/1983, under the name of SCHRODER SECURITIES CORPORATION, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment SCHRODER SECURITIES CORPORATION, changing its name to FIDUCIARY FINANCIAL SERVICES CORP., was filed 11/01/1985.

A Certificate of Amendment FIDUCIARY FINANCIAL SERVICES CORP., changing its name to FRANKLIN TEMPLETON FINANCIAL SERVICES CORP., was filed 03/29/2007.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 27th day of June
two thousand and seven.*

Daniel Shapiro
Special Deputy Secretary of State

200706280496 * EZ

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