2001 UNIFORM BUSINESS REPORT (UBR) Apr 30, 2001 8:00 am Secretary of State **DOCUMENT # P33564** 1. Entity Hame BEAR, STEARNS SECURITIES CORP. 04-30-2001 90395 002 ***150.00 Mailing Address Principal Place of Business 115 S JEFFERSON RD ONE METROTECH CENTER NORTH ATTENTION: NANCY LOPEZ. COMPLIANCE DEPT ATTN: JEFFREY BERNSTEIN BROOKLYN NY 11201-3859 WHIPPANY NJ 07988 2. Principal Place of Business 3. Mailing Address DO NOT WRITE IN THIS SPACE Suite, Apt. #, etc. Suite, Apt. #, etc. Applied For City & State 4. FEI Number City & State 13-3604093 Not Applicable Country \$8.75 Additional Zip Country Zip 5. Certificate of Status Desired Fee Required 7. Name and Address of New Registered Agent 6. Name and Address of Current Registered Agent Name CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 SOUTH PINE ISLAND ROAD PLANTATION FL 33324 Zip Code City FL 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. SIGNATURE DATE . Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) FILE NOW!!! FEE IS \$150.00 9. This corporation is eligible to satisfy its Intangible 10. Election Campaign Financing \$5.00 May Be After MAY 1, 2001 Fee will be \$550.00 Tax filing requirement and elects to do so. Added to Fees Trust Fund Contribution. (See criteria on back) Make Check Payable to Department of State ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 OFFICERS AND DIRECTORS 12. 11. ☐ Addition Change PDCO TITLE ☐ Delete TITLE PLEASE SEE ATTACHED LIST HARRITON, RICHARD NAMÉ NAME STREET ADDRESS STREET ADDRESS 245 PARK AVENUE CITY-ST-ZIP CITY-ST-ZIP **NEW YORK NY** ☐ Change ☐ Addition **VDSC** ☐ Detete TITI F TITI F lehman, mark e. NAME NAME 245 PARK AVENUE STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-7IP **NEW YORK NY** ☐ Change ☐ Addition DC00 ☐ Delete TITLE TITLE NAME BERNSTEIN, JEFFREY C. NAME STREET ADDRESS ONE METROTECH CENTER STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP **BROOKLYN NY** ☐ Change Addition TITLE ☐ Delete TITLE EDLOW, KENNETH L. NAME NAME STREET ADDRESS 245 PARK AVENUE STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP **NEW YORK NY** ☐ Change ☐ Addition TITLE TD Delete TITLE

NEW YORK NY 10167 13. I hereby certify that the information symplic dwith this filling does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver a trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment of the corporation of the receiver and the proposed of the corporation of the corporation of the corporation of the receiver and the proposed of the corporation of the corporation of the corporation of the receiver and that my name appears in Block 11 or Block 12 if changed, or on an attachment of the corporation of the corpor

NAME

TITLE

NAME

☐ Delete

STREET ADDRESS

STREET ADDRESS CITY-ST-ZIP

CITY-ST-ZIP

SIGNATURE:

NAME

TITLE

NAME STREET ADDRESS

STREET ADDRESS

CITY-ST-ZIP

CITY-ST-7IP

MINIKES, MICHAEL

245 PARK AVENUE

245 PARK AVENUE

MONTGORIS, WILLIAM J

NEW YORK NY

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Co-President Michael Minikes. Treasurer

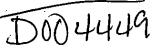
☐ Change

☐ Addition

OSHACKMENT P33564

Bear, Stearns Securities Corp.

Officers and Directors



NAME	7	TITLE	BUSINESS ADDRESS
Alan C. Greenberg	Chairman of	the Board/ Director	A
James E. Cayne		oard/ Chief Executive Officer/ Director	A
Michael Minikes	Co-President/	Treasurer/ Director	A
Richard Lindsey	Co-Presi	dent/ Director	A
Bruce E. Geismar	Chief Operation	ng Officer/ Director	В
Jeffrey C. Bernstein	Chief Operation	ns Officer/ Director	В
Kenneth L. Edlow	Se	ecretary	A
Samuel L. Molinaro Jr.		Senior Vice President- Finance/ virector	A
Mark E. Lehman		Legal Officer/ Executive Vice ent/ Director	A
Ronald M. Hersch	Executive	Vice President	A
Jeffrey M. Lipman	Assista	nt Secretary	A .
Raymond L. Aronson	Chief Con	ppliance Officer	A
Michael J. Abatemarco	Controller/ A	Assistant Secretary	В
John L. Knight	D	irector	С
Alan D. Schwartz	D	irector	A
Warren J. Spector	D	irector	Α

A. 245 Park Avenue New York, NY 10167

B. One Metrotech Center North Brooklyn, NY 11201-3859

C. One Canada Square London, England E14 5AD

BEAR STEARNS

#P3350

BEAR, STEARNS & CO. INC

115 SOUTH JEFFERSON ROAD WHIPPANY, NEW JERSEY 07981 (201) 739-2257

> ATLANTA + BOSTON CHICAGO + DALLAS + LOS ANGELES NEW YORK + SAN FRANCISCO

FRANKFURT • GENEVA • HONG KONG LONDON • PARIS • TOKYO

April 26, 2001

FL Department of State Division of Corporations 409 East Gaines Street Tallahassee, FL 32399

RE: FL 2001Uniform Business Report

Dear Sir/Madam:

Enclosed you will find the following:

ENTITY	CHECK NO.	FILING FEE	ACCOMPANYING LIST OF OFFICERS & DIRECTORS
Battery Park Capital Corp.	2029637	\$150.00	N/A mention of
Bear Stearns N.Y., Inc.	2029936	\$150:00	N/A
Bear Stearns Realty Partners	2029032	\$150.00	N/A
Corporation			
Bear, Stearns & Co. Inc.	2029033	\$150.00	List of Officers & Directors
Bear, Stearns Funding, Inc.	2029638	\$150.00	List of Officers & Directors
Bear, Stearns Securities Corp.	2029639	\$150.00	List of Officers & Directors

If you have any questions concerning the above, I may be reached by phone at (973) 793-2265.

Sincerely

Alice Butz

Enclosures:

CC: Nancy Lopez