

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 30, 2001 8:00 am
Secretary of State
 04-30-2001 90395 002 ***150.00

DOCUMENT # P33564

1. Entity Name

BEAR, STEARNS SECURITIES CORP.

Principal Place of Business

**ONE METROTECH CENTER NORTH
 ATTN: JEFFREY BERNSTEIN
 BROOKLYN NY 11201-3859
 US**

Mailing Address

**115 S JEFFERSON RD
 ATTENTION: NANCY LOPEZ, COMPLIANCE DEPT
 WHIPPANY NJ 07988
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **13-3604093**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After MAY 1, 2001 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCDO HARRITON, RICHARD 245 PARK AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VDSC LEHMAN, MARK E. 245 PARK AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DCOO BERNSTEIN, JEFFREY C. ONE METROTECH CENTER BROOKLYN NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S EDLOW, KENNETH L. 245 PARK AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	TD MINIKES, MICHAEL 245 PARK AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MONTGORIS, WILLIAM J 245 PARK AVENUE NEW YORK NY 10167	<input type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
PLEASE SEE ATTACHED LIST	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Michael Minikes, Treasurer

Co-President

Date

Daytime Phone #

04/26/01

CR2E034 (10/00)

Bear, Stearns Securities Corp.

Officers and Directors

Attachment

#P33564

D004449

NAME	TITLE	BUSINESS ADDRESS
Alan C. Greenberg	Chairman of the Board/ Director	A
James E. Cayne	Vice Chairman of the Board/ Chief Executive Officer/ Director	A
Michael Minikes	Co-President/ Treasurer/ Director	A
Richard Lindsey	Co-President/ Director	A
Bruce E. Geismar	Chief Operating Officer/ Director	B
Jeffrey C. Bernstein	Chief Operations Officer/ Director	B
Kenneth L. Edlow	Secretary	A
Samuel L. Molinaro Jr.	Chief Financial Officer/ Senior Vice President- Finance/ Director	A
Mark E. Lehman	General Counsel/ Chief Legal Officer/ Executive Vice President/ Director	A
Ronald M. Hersch	Executive Vice President	A
Jeffrey M. Lipman	Assistant Secretary	A
Raymond L. Aronson	Chief Compliance Officer	A
Michael J. Abatemarco	Controller/ Assistant Secretary	B
John L. Knight	Director	C
Alan D. Schwartz	Director	A
Warren J. Spector	Director	A

A.
245 Park Avenue
New York, NY 10167

B.
One Metrotech Center North
Brooklyn, NY 11201-3859

C.
One Canada Square
London, England
E14 5AD



April 26, 2001

FL Department of State
Division of Corporations
409 East Gaines Street
Tallahassee, FL 32399

RE: FL 2001 Uniform Business Report


Dear Sir/Madam:

Enclosed you will find the following:

ENTITY	CHECK NO.	FILING FEE	ACCOMPANYING LIST OF OFFICERS & DIRECTORS
Battery Park Capital Corp.	2029637	\$150.00	N/A
Bear Stearns N.Y., Inc.	2029936	\$150.00	N/A
Bear Stearns Realty Partners Corporation	2029032	\$150.00	N/A
Bear, Stearns & Co. Inc.	2029033	\$150.00	List of Officers & Directors
Bear, Stearns Funding, Inc.	2029638	\$150.00	List of Officers & Directors
Bear, Stearns Securities Corp.	2029639	\$150.00	List of Officers & Directors

If you have any questions concerning the above, I may be reached by phone at (973) 793-2265.

Sincerely


Alice Butz

Enclosures:

CC: Nancy Lopez

Attachment

#P33564
DO0449

BEAR, STEARNS & CO. INC.

115 SOUTH JEFFERSON ROAD
WHIPPANY, NEW JERSEY 07981
(201) 739-2257

ATLANTA • BOSTON
CHICAGO • DALLAS • LOS ANGELES
NEW YORK • SAN FRANCISCO
FRANKFURT • GENEVA • HONG KONG
LONDON • PARIS • TOKYO