CR2E034 (10/00)

FILED

2001 UNIFORM BUSINESS REPORT (UBR)

Apr 03, 2001 8:00 am Secretary of State **DOCUMENT # P04406** ASCEND FINANCIAL SERVICES, INC. 04-03-2001 90059 018 ***150.00 Principal Place of Business Mailing Address 400 NORTH ROBERT STREET 400 NORTH ROBERT STREET ST. PAUL MN 55101 ST. PAUL MN 55101 2. Principal Place of Business 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. DO NOT WRITE IN THIS SPACE City & State City & State 4. FEI Number Applied For 41-1486060 Not Applicable Zip Country Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 S. PINE ISLAND ROAD PLANTATION FL 33324 City Zip Code 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. SIGNATURE Signature, byped or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) 9. This corporation is eligible to satisfy its Intangible FILE NOW!!! FEE IS \$150.00 10. Election Campaign Financing \$5.00 May Be Tax filing requirement and elects to do so. After MAY 1, 2001 Fee will be \$550.00 Trust Fund Contribution. Added to Fees (See criteria on back) Make Check Payable to Department of State ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 OFFICERS AND DIRECTORS 11. 12. TITLE ☐ Delete TITLE ☐ Change DENNIS E. PROHOFSKY NAME NAME SEE ATTACHED LISTING STREET ADDRESS 755 E. MONTANA STREET ADDRESS CITY-ST-ZIP CITY-ST-ZiP ST. PAUL MN ☐ Addition TITLE ☐ Delete TITLE Change HURSTAD, ROBERT É NAME NAME STREET ADDRESS 1650 BLACKHAWK COVE STREET ADDRESS **EAGAN MN 55122** CITY-ST-ZIP CITY-ST-ZIP TITLE ☐ Delete _ ☐ Change Addition MARGARET M. MILOSEVICH NAME NAME 2601 WEXFORD HGTS. LANE STREET ADDRESS STREET ADDRESS CITY-ST-7IP **NEW BRIGHTON MN** CITY-ST-ZIP TITI F ☐ Delete Change Addition TITLE CONNOLLY, GEORGE I. NAME NAME 9860 INDIGO TRAIL STREET ADDRESS STREET ADDRESS CITY-ST-ZIP **GRANT MN 55115** CITY-ST-ZIP **ATAS** TITLE ☐ Delete TITLE ☐ Change ☐ Addition CLARK, THOMAS L NAME NAME W. 10546 880TH AVENUE STREET ADDRESS STREET ADDRESS CITY-ST-ZIP **RIVER FALLS WI** CITY-ST-ZIP ☐ Change TITLE Delete TITLE ☐ Addition PROHOFSKY, DENNIS E NAME NAME STREET ADDRESS 755 E MONTANA STREET ADDRESS CITY-ST-ZIP SAINT PAUL MN 55106 CITY-ST-7IP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIG	N	ΔТ	HB	F.

Thomas Thomas Thomas Anature and Typed or Printed Name of Signing Officer or Director

Thomas L. Clark

3/30/2001

651-665-4306

Date

Daytime Phone #

ASCEND FINANCIAL SERVICES, INC.

Officers

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02/01/2001	Business Address	400 Robert Street North St. Paul, MN 55101	400 Robert Street North St. Paul, MN 55101	400 Robert Street North St. Paul, MN 55101	400 Robert Street North St. Paul, MN 55101			400 Robert Street North St. Paul, MN 55101	400 Robert Street North St. Paul, MN 55101	400 Robert Street North St. Paul, MN 55101		
	Residence Address	9860 Indigo Trail Grant, MN 55115	2601 Wexford Hghts Lane New Brighton, MN 55112	7065 Unity Avenue North Brooklyn Center, MN 55429	W10546 880th Avenue River Falls, WI 54022		Directors	1650 Blackhawk Cove Eagan, MN 55122	9860 Indigo Trail Grant, MN 55115	755 E. Montana St. Paul, MN 55106		
	CRD#	1457599	1493467	1368670	47905		Dire	1480417	1457599	2636727		
	Social Security #	024-50-6251	392-70-8864	380-58-7264	472-54-1754	_		469-42-9796	024-50-6251	468-46-2497	· .	
	Name and Title	George I. Connolly President and Chief Executive Officer	Margaret P. Milosevich Vice President, Chief Operations Officer, Secretary and Treasurer	Loyall E. Wilson Vice President and Chief Compliance Officer	Thomas L. Clark Assistant Secretary and Assistant Treasurer			Robert E. Hunstad	George I. Connolly	Dennis E. Prohofsky	-	