

# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # 848325

1. Entity Name

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

Principal Place of Business

Mailing Address

51 MADISON AVE.  
NEW YORK NY 10010

51 MADISON AVE.  
NEW YORK NY 10010-1603

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3044743

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional  
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

TREASURER & INSURANCE COMMISSIONER  
FLORIDA DEPARTMENT OF INSURANCE  
200 EAST GAINES STREET  
TALLAHASSEE FL 32399-6562

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
Tax filing requirement and elects to do so.  
(See criteria on back)

☐

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing  
Trust Fund Contribution.

☐

\$5.00 May Be  
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE D ☐ Delete  
NAME STERNBERG, SEYMOUR  
STREET ADDRESS 51 MADISON AVE  
CITY-ST-ZIP NEW YORK NY 10010

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE D ☐ Delete  
NAME TRAPP, GEORGE JOSEPH  
STREET ADDRESS 51 MADISON AVE  
CITY-ST-ZIP NEW YORK NY

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE VT ☐ Delete  
NAME CALHOUN, JAY S 111  
STREET ADDRESS 51 MADISON AVE.  
CITY-ST-ZIP NEW YORK NY

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE D ☐ Delete  
NAME ROCK, ROBERT DONALD  
STREET ADDRESS 51 MADISON AVE.  
CITY-ST-ZIP NEW YORK NY

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE P/D ☐ Delete  
NAME FREDERICK J SIEVERT  
STREET ADDRESS 51 MADISON AVE.  
CITY-ST-ZIP NEW YORK NY 10010

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE VS ☐ Delete  
NAME HARLAND, DAVID A  
STREET ADDRESS 51 MADISON AVE.  
CITY-ST-ZIP NEW YORK NY

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i) Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*John A. Cullen*

John Cullen

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/19/00

Date

(212) 576-4805

Daytime Phone #

8-18325 9/6 5/49  
**NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION**

(Incorporated Delaware, November 3, 1980.  
Subsidiary of New York Life Insurance Company  
200 Continental Drive, Newark, NJ 19713)

**DIRECTORS**

Howard I. Atkins	51 Madison Avenue, New York, NY 10010
Frank M. Boccio	51 Madison Avenue, New York, NY 10010
Michael G. Gallo	51 Madison Avenue, New York, NY 10010
Solomon Goldfinger	51 Madison Avenue, New York, NY 10010
Phillip J. Hildebrand	51 Madison Avenue, New York, NY 10010
Richard M. Kernan, Jr.	51 Madison Avenue, New York, NY 10010

**OFFICERS**

Howard I. Atkins <i>Executive Vice President and Chief Financial Officer</i>	51 Madison Avenue, New York, NY 10010
Gary G. Benanav <i>Executive Vice President</i>	51 Madison Avenue, New York, NY 10010
Judith E. Campbell <i>Senior Vice President And Chief Information Officer</i>	51 Madison Avenue, New York, NY 10010
John A. Cullen <i>Vice President and Controller</i>	51 Madison Avenue, New York, NY 10010
Michael J. McLaughlin <i>Senior Vice President and General Counsel</i>	51 Madison Avenue, New York, NY 10010
Stephen N. Steinig <i>Senior Vice President and Chief Actuary</i>	51 Madison Avenue, New York, NY 10010
Thomas J. Warga <i>Senior Vice President and General Auditor</i>	51 Madison Avenue, New York, NY 10010