

# 2000 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Mar 22, 2000 8:00 am**  
**Secretary of State**

03-22-2000 90080 017 \*\*\*150.00

**DOCUMENT # P04406**

1. Entity Name

**ASCEND FINANCIAL SERVICES, INC.**

Principal Place of Business

Mailing Address

**400 NORTH ROBERT STREET  
 ST. PAUL MN 55101**

**400 NORTH ROBERT STREET  
 ST. PAUL MN 55101-2015**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

**41-1486060**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM  
 1200 S. PINE ISLAND ROAD  
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
 Tax filing requirement and elects to do so.  
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00  
 After MAY 1, 2000 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing  
 Trust Fund Contribution. ☐

**\$5.00** May Be  
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **D** ☐ Delete  
 NAME **DENNIS E. PROHOFSKY**  
 STREET ADDRESS **755 E. MONTANA**  
 CITY-ST-ZIP **ST. PAUL MN**

☐ Change ☐ Addition  
 See Attached Listing

TITLE **AS** ☒ Delete  
 NAME **BERG, MARGARET A.**  
 STREET ADDRESS **5215 PORTLAND AVE. S.**  
 CITY-ST-ZIP **MINNEAPOLIS MN**

☐ Change ☐ Addition

TITLE **VPTS** ☐ Delete  
 NAME **MARGARET M. MILOSEVICH**  
 STREET ADDRESS **2601 WEXFORD HGTS. LANE**  
 CITY-ST-ZIP **NEW BRIGHTON MN**

☐ Change ☐ Addition

TITLE **PD** ☐ Delete  
 NAME **CONNOLLY, GEORGE I.**  
 STREET ADDRESS **1193 ROCKSTONE LANE**  
 CITY-ST-ZIP **NEW BRIGHTON MN**

☐ Change ☐ Addition  
**9860 Indigo Trail  
 Grant, MN 55115**

TITLE **ATAS** ☐ Delete  
 NAME **CLARK, THOMAS L**  
 STREET ADDRESS **W. 10546 880TH AVENUE**  
 CITY-ST-ZIP **RIVER FALLS WI**

☐ Change ☐ Addition

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:**

*Thomas L. Clark*

**Thomas L. Clark**

**3/16/2000**

**888-237-1838**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/99)

# ASCEND FINANCIAL SERVICES, INC.

## Officers

12-21-99

<u>Name and Title</u>	<u>Social Security #</u>	<u>CRD#</u>	<u>Residence Address</u>	<u>Business Address</u>
George I. Connolly President and Chief Executive Officer	024-50-6251	1457599	9860 Indigo Trail Grant, MN 55115	400 Robert Street North St. Paul, MN 55101
Margaret P. Milosevich Vice President, Chief Operations Officer, Secretary and Treasurer	392-70-8864	1493467	2601 Wexford Heights Lane New Brighton, MN 55112	400 Robert Street North St. Paul, MN 55101
Loyall E. Wilson Vice President and Chief Compliance Officer	380-58-7264	1368670	191 83rd Ave. NE Apt 309 Fridley, MN 55432	400 Robert Street North St. Paul, MN 55101
Thomas L. Clark Assistant Secretary and Assistant Treasurer	472-54-1754	47905	W10546 880th Avenue River Falls, WI 54022	400 Robert Street North St. Paul, MN 55101

## Directors

Robert E. Hunstad	469-42-9796	1480417	1650 Blackhawk Cove Eagan, MN 55122	400 Robert Street North St. Paul, MN 55101
George I. Connolly	024-50-6251	1457599	9860 Indigo Trail Grant, MN 55115	400 Robert Street North St. Paul, MN 55101
Dennis E. Prohovsky	468-46-2497	2636727	755 E. Montana St. Paul, MN 55106	400 Robert Street North St. Paul, MN 55101

Attachment  
00043634

044026