

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1999



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State  
DIVISION OF CORPORATIONS

FILED  
May 11, 1999 8:00 am  
Secretary of State

05-11-1999 90044 046 \*\*\*150.00

DOCUMENT # P33564

1. Corporation Name

BEAR, STEARNS SECURITIES CORP.

Principal Place of Business

ONE METROTECH CENTER NORTH  
ATTN: JEFFREY BERNSTEIN  
BROOKLYN NY 11201-3859  
US

Mailing Address

115 S JEFFERSON RD  
ATTENTION: NANCY LOPEZ, COMPLIANCE DEPT  
WHIPPANY NJ 07988  
US

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

23 City & State

28 City & State

24 Zip

Country

29 Zip

Country

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION FL 33324

3. Date Incorporated or Qualified

04/15/1991

4. FEI Number

13-3604093

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐

\$5.00 May Be  
Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. ☐ Yes ☒ No

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE	PDCO	<input type="checkbox"/> DELETE
NAME	HARRITON, RICHARD	
STREET ADDRESS	245 PARK AVENUE	
CITY-ST-ZIP	NEW YORK NY	
TITLE	VDSC	<input type="checkbox"/> DELETE
NAME	LEHMAN, MARK E.	
STREET ADDRESS	245 PARK AVENUE	
CITY-ST-ZIP	NEW YORK NY	
TITLE	DCOO	<input type="checkbox"/> DELETE
NAME	BERNSTEIN, JEFFREY C.	
STREET ADDRESS	ONE METROTECH CENTER	
CITY-ST-ZIP	BROOKLYN NY	
TITLE	S	<input type="checkbox"/> DELETE
NAME	EDLOW, KENNETH L.	
STREET ADDRESS	245 PARK AVENUE	
CITY-ST-ZIP	NEW YORK NY	
TITLE	TD	<input type="checkbox"/> DELETE
NAME	MINIKES, MICHAEL	
STREET ADDRESS	245 PARK AVENUE	
CITY-ST-ZIP	NEW YORK NY	
TITLE	D	<input type="checkbox"/> DELETE
NAME	MONTGORIS, WILLIAM J	
STREET ADDRESS	245 PARK AVENUE	
CITY-ST-ZIP	NEW YORK NY 10167	

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
1.2 NAME	
1.3 STREET ADDRESS	
1.4 CITY-ST-ZIP	
2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
2.2 NAME	
2.3 STREET ADDRESS	
2.4 CITY-ST-ZIP	
3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
3.2 NAME	
3.3 STREET ADDRESS	
3.4 CITY-ST-ZIP	
4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
4.2 NAME	
4.3 STREET ADDRESS	
4.4 CITY-ST-ZIP	
5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
5.2 NAME	
5.3 STREET ADDRESS	
5.4 CITY-ST-ZIP	
6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.2 NAME	
6.3 STREET ADDRESS	
6.4 CITY-ST-ZIP	

PLEASE SEE ATTACHED LIST FOR  
ADDITIONAL INFORMATION

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Samuel L. Molinaro Jr.

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

04/29/99

Date

212-272-2000

Daytime Phone #

CR2E034 (1/98)

545528-90044-46  
P33564

## Bear, Stearns Securities Corp.

### Officers and Directors

<b>Name</b>	<b>Title</b>	<b>Business Address</b>
Alan C. Greenberg	Chairman of the Board/ <b>Director</b>	A
James E. Cayne	Vice Chairman/ Chief Executive Officer/ <b>Director</b>	A
Richard Harriton	President/ Chief Operating Officer/ <b>Director</b>	A
Jeffrey C. Bernstein	Chief Operations Officer/ <b>Director</b>	B
Kenneth L. Edlow	Secretary	A
Michael Minikes	Treasurer/ <b>Director</b>	A
Samuel L. Molinaro Jr.	Chief Financial Officer/ Senior Vice President- Finance/ <b>Director</b>	A
Mark E. Lehman	Executive Vice President/ General Counsel/ Chief Legal Officer/ <b>Director</b>	A
Ronald M. Hersch	Executive Vice President	A
Joseph A. Gottlieb	Vice President/ Operations	A
Stephen A. Bornstein	Assistant Secretary	A
Raymond L. Aronson	Chief Compliance Officer	A
Michael J. Abatemarco	Controller/ Assistant Secretary	B
John L. Knight	<b>Director</b>	C
William J. Montgoris	<b>Director</b>	A
Alan D. Schwartz	<b>Director</b>	A
Warren J. Spector	<b>Director</b>	A
Bruce E. Geismar	<b>Director</b>	A

**A.**  
245 Park Avenue  
New York, NY 10167

**B.**  
One Metrotech Center North  
Brooklyn, NY 11201-3859

**C.**  
One Canada Square  
London, England  
E14 5AD