

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

CORPORATION
ANNUAL REPORT
1995



FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

APPROVED
AND
FILED

95 APR -4 AM 10:30

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # **P33564** (6)

1. Corporation Name

BEAR, STEARNS SECURITIES CORP.

Principal Place of Business

**ONE METROTECH CENTER NORTH
ATTN: JEFFREY BERNSTEIN
BROOKLYN NY 11201-3659
US**

Mailing Address

**115 S JEFFERSON RD
ATTENTION: NANCY LOPEZ, COMPLIANCE DEPT
WHIPPANY NJ 07986
US**

DO NOT WRITE IN THIS SPACE.

3. Date Incorporated or Qualified

04/15/1991

3a. Date of Last Report

05/01/1994

4. FEI Number

13-3604093

Applied For

Net Applicable

5. Certificate of Status Desired

☒

**\$8.75 Additional
Fee Required**

6. Election Campaign Financing
Trust Fund Contribution

☐

**\$5.00 May Be
Added to Fees**

8. This corporation has liability for intangible tax under S. 199.032,
Florida Statutes ☐ Yes ☒ No

2. Principal Place of Business

21

Suite, Apt. #, etc.

22

City & State

23

Zip

Country

24

2a. Mailing Address

26

Suite, Apt. #, etc.

27

City & State

28

Zip

Country

29

30

9. Name and Address of Current Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM, INC.
1201 HAYES ST
SUITE 105
TALLAHASSEE FL 32301**

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent Signature required when resigning)

DATE

12. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

**PDCC
HARRISON, RICHARD
245 PARK AVENUE
NEW YORK NY**

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

**VDSC
LEHMAN, MARK E.
245 PARK AVENUE
NEW YORK NY**

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

**DCOO
BERNSTEIN, JEFFREY C.
ONE METROTECH CENTER
BROOKLYN NY**

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

**S
EDLOW, KENNETH L.
245 PARK AVENUE
NEW YORK NY**

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

**TD
MINIKES, MICHAEL
245 PARK AVENUE
NEW YORK NY**

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE

☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY - ST - ZIP

2.1 TITLE

☐ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY - ST - ZIP

3.1 TITLE

☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY - ST - ZIP

4.1 TITLE

☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY - ST - ZIP

5.1 TITLE

☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY - ST - ZIP

6.1 TITLE

☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY - ST - ZIP

**Please see Attached
Schedule A:
Listing of Officers and Directors**

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(b), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: **William J. Montgoris**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3/01/95

(212)272-2000

DATE

TELEPHONE NUMBER

Schedule A:**OFFICERS and DIRECTORS
of
BEAR, STEARNS SECURITIES CORP.**

NAME	TITLE	BUSINESS ADDRESS
Richard Harrison	Director/ Senior Managing Director/ Chief Operating Officer/ President	(A)
Samuel L. Molinaro, Jr.	Senior Vice President-Finance	(A)
Kenneth L. Edlow	Secretary	(A)
Michael Minikes	Director/ Senior Managing Director/ Treasurer	(A)
Jeffery C. Bernstein	Director/ Senior Managing Director/ Chief Operations Officer	(B)
James E. Cayne	Director/ Vice Chairman/ Chief Executive Officer	(A)
Alan C. Greenberg	Director/ Chairman of the Board	(A)

NAME	TITLE	BUSINESS ADDRESS
Mark E. Lehman	Director/ Senior Managing Director/ Vice President/ Assistant Secretary/ Chief Legal Officer	(A)
Vincent J. Mattone	Director	(A)
Douglas P.C. Nation	Director	(C)
Alan D. Schwartz	Director	(A)
John C. Sites, Jr.	Director	(A)
Warren J. Spector	Director	(A)
Michael L. Tamopol	Director	(A)
Ronald M. Hersch	Senior Managing Director/ Executive Vice President	(A)
Michael J. Abatemarco	Controller/ Assistant Secretary	(B)
William J. Montgonis	Director/ Chief Financial Officer	(A)
Joseph A. Gottlieb	Senior Managing Director/ Vice President/Operations	(A)

NAME	TITLE	BUSINESS ADDRESS
Kenneth A. Rubin	Assistant Secretary	(A)

A: 245 Park Avenue
 New York, NY 10167
 B: One MetroTech Center North
 Brooklyn, NY 11201-3859
 C: One Canada Square
 London E14 5AD
 England