

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 08, 2002 8:00 am
Secretary of State

04-08-2002 90070 037 ***150.00

0609654 AT

DOCUMENT # P33028

1. Entity Name

NORTHERN TRUST CORPORATION

Principal Place of Business

**50 SOUTH LASALLE STREET
 CHICAGO IL 60675**

Mailing Address

**50 SOUTH LASALLE STREET
 C/O ROSE ELLIS, M-9
 CHICAGO IL 60675
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

36-2723087

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
 Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

**\$5.00 May Be
 Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete
 NAME **CEO**
 STREET ADDRESS **WILIAM A OSBORN**
 CITY-ST-ZIP **50 SOUTH LA SALLE STREET
 CHICAGO IL**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **S**
 STREET ADDRESS **ELLIS, ROSE A**
 CITY-ST-ZIP **50 SOUTH LASALLE STREET
 CHICAGO IL 60675**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **T**
 STREET ADDRESS **EDDY, DAVID L.**
 CITY-ST-ZIP **50 SOUTH LASALLE STREET
 CHICAGO IL**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **EVP**
 STREET ADDRESS **MITCHELL, JAMES J**
 CITY-ST-ZIP **50 S. LASALLE STREET
 CHICAGO IL**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **EVP**
 STREET ADDRESS **ROSSITER, PETER L**
 CITY-ST-ZIP **50 S LASALLE STREET
 CHICAGO IL**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME **PD**
 STREET ADDRESS **BARRY G HASTINGS**
 CITY-ST-ZIP **50 SOUTH LA SALLE STREET
 CHICAGO IL**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Bruce G. Hastings* REQUESTED officer

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

3/21/02

312-630-6648

CR2E034 (9/01)

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SCHEDULE OF EXECUTIVE OFFICERS AND BOARD OF DIRECTORS
NORTHERN TRUST CORPORATION

<u>Officers & Directors</u> <u>Name / Title</u>	<u>Address</u>
William A. Osborn Chairman, Chief Executive Officer, and Director	50 South LaSalle Street Chicago, Illinois 60675
Barry G. Hastings President, Chief Operating Officer, and Director	50 South LaSalle Street Chicago, Illinois 60675
James J. Mitchell President - Worldwide Operations and Technology	50 South LaSalle Street Chicago, Illinois 60675
Perry R. Pero Vice Chairman and Chief Financial Officer	50 South LaSalle Street Chicago, Illinois 60675
Peter L. Rossiter President - Corporate and Institutional Services	50 South LaSalle Street Chicago, Illinois 60675
Mark Stevens President - Personal Financial Services	50 South LaSalle Street Chicago, Illinois 60675
Stephen B. Timbers President - Northern Trust Global Investment	50 South LaSalle Street Chicago, Illinois 60675
Kelly Welch Executive Vice President and General Counsel	50 South LaSalle Street Chicago, Illinois 60675
Harry W. Short Executive Vice President and Controller	50 South LaSalle Street Chicago, Illinois 60675
Orie L. Dudley Jr. Executive Vice President and Chief Investment Officer	50 South LaSalle Street Chicago, Illinois 60675
John P. Grube Executive Vice President - Credit Policy	50 South LaSalle Street Chicago, Illinois 60675
William R. Dodds, Jr. Senior Vice President - Strategic Planning	50 South LaSalle Street Chicago, Illinois 60675
David L. Eddy Senior Vice President and Treasurer	50 South LaSalle Street Chicago, Illinois 60675
Rose A. Ellis Corporate Secretary and Assistant General Counsel	50 South LaSalle Street Chicago, Illinois 60675
Beverly J. Fleming Senior Vice President and Assistant Corporate Treasurer	50 South LaSalle Street Chicago, Illinois 60675
Patricia K. Bartler Senior Vice President and Chief Compliance Officer	50 South LaSalle Street Chicago, Illinois 60675

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NORTHERN TRUST CORPORATION

<u>Officers & Directors</u> <u>Name / Title</u>	<u>Address</u>
Dan E. Phelps Senior Vice President and General Auditor	50 South LaSalle Street Chicago, Illinois 60675
Duane L. Burnham Director	50 South LaSalle Street Chicago, Illinois 60675
Dolores E. Cross Director	50 South LaSalle Street Chicago, Illinois 60675
Susan Crown Director	50 South LaSalle Street Chicago, Illinois 60675
Robert S. Hamada Director	50 South LaSalle Street Chicago, Illinois 60675
Robert A. Helman Director	50 South LaSalle Street Chicago, Illinois 60675
Arthur L. Kelly Director	50 South LaSalle Street Chicago, Illinois 60675
Frederick A. Krehbiel Director	50 South LaSalle Street Chicago, Illinois 60675
Robert C. McCormack Director	50 South LaSalle Street Chicago, Illinois 60675
Edward J. Mooney Director	50 South LaSalle Street Chicago, Illinois 60675
Harold B. Smith Director	50 South LaSalle Street Chicago, Illinois 60675
William D. Smithburg Director	50 South LaSalle Street Chicago, Illinois 60675
Bide L. Thomas Director	50 South LaSalle Street Chicago, Illinois 60675
<u>SIGNING OFFICERS</u>	
Bruce C. Janovsky	50 South LaSalle Street
David B. Lyon	Chicago, Illinois 60675
Lorraine G. Gibas	
Claire R. Corcoran	