

2000 UNIFORM BUSINESS REPORT (UBR)

FILED
Jun 05, 2000 8:00 am
Secretary of State

06-05-2000 90019 005 ****61.25

DOCUMENT # P18691

1. Entity Name

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.



DO NOT WRITE IN THIS SPACE

Principal Place of Business

Mailing Address

1735 K STREET N. W.
 WASHINGTON DC 20006

15201 DIAMOND BACK DRIVE
 FINANCE DEPT
 ROCKVILLE MD 20850-3327
 US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

53-0088710

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the state of Florida.

SIGNATURE _____

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW:
FEE IS \$61.25

9. Election Campaign Financing
 Trust Fund Contribution.

\$5.00 May Be
 Added to Fees

Make Check Payable to
Department of State

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 10

TITLE	P	<input type="checkbox"/> Delete
NAME	KETCHUM, RICHARD G	
STREET ADDRESS	1735 K STREET, NW	
CITY-ST-ZIP	WASHINGTON DC 20006	
TITLE	SVT	<input type="checkbox"/> Delete
NAME	ALLEN, JAMES R	
STREET ADDRESS	1735 K STREET, NW	
CITY-ST-ZIP	WASHINGTON DC 20006	
TITLE	SVPS	<input type="checkbox"/> Delete
NAME	CONLEY, JOAN C	
STREET ADDRESS	1735 K STREET, NW	
CITY-ST-ZIP	WASHINGTON DC 20006	
TITLE	D	<input type="checkbox"/> Delete
NAME	ALLISON, HERBERT M	
STREET ADDRESS	MERRILL LYNCH & CO.,INC	
CITY-ST-ZIP	NEW YORK NY 10281	
TITLE	D	<input type="checkbox"/> Delete
NAME	BAKER, NANCY KASSEBAU	
STREET ADDRESS	700 NEW HAMPSHIRE AVE N.W.	
CITY-ST-ZIP	WASHINGTON DC 20004	
TITLE	D	<input type="checkbox"/> Delete
NAME	BAXTER, FRANK E	
STREET ADDRESS	11100 SANTA MONICA BLVD	
CITY-ST-ZIP	LOS ANGELES CA 90025	

TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	50 Butler Road
CITY-ST-ZIP	New York, NY 10583
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	
TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY-ST-ZIP	

CF2E037 (9/99)

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: _____

James R. Allen
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

James R. Allen
 SVP & Treasurer

4/28/00
 Date

(301)590-6537
 Daytime Phone #

DU55302
P 18 691

National Association of Securities Dealers, Inc.
1735 K Street, NW, Washington, DC 20006
Officers

Frank G. Zarb	Chairman & CEO
Richard G. Ketchum	President
Salvatore F. Sodano	Chief Operating Officer
Gregor S. Bailar	Executive Vice President & CIO
John L. Hilley	Executive Vice President
Edward S. Knight	Executive Vice President & Chief Legal Officer
Todd T. Diganci	Deputy Chief Financial Officer
Gordon F. Martin	Executive Vice President & Chief Financial Officer
James R. Allen	Senior Vice President & Treasurer
T. Grant Callery	Senior Vice President & General Counsel
Diane E. Carter	Senior Vice President
Lee A. Congdon	Senior Vice President
Dean Furbush	Senior Vice President
Michael D. Jones	Senior Vice President
Daniel S. Shook	Senior Vice President
John T. Moore	Senior Vice President
Andrew J. MacMillan	Senior Vice President
Michael D'Emic	Senior Vice President
Joan C. Conley	Senior Vice President & Corporate Secretary
Michael Edleson	Senior Vice President & Chief Economist
James P. Weber	Senior Vice President
William D. Bone	Vice President
Samuel E. Laughery	Vice President
Eileen McTavish	Vice President
Michael J. Ryan	Vice President
Nicholas J. Santoro	Vice President
Bernard Thompson	Vice President & Ombudsman
Catherine C. Tighe	Vice President
Daniel F. C. Crowley	Vice President
Steven G. Moffett	Vice President
Gregory B. Raymond	Vice President
Paul P. Andrews	Vice President
John C. DeSaix	Vice President
John J. Flood	Vice President
Michael P. Hourigan	Vice President
Nanci L. Schimizzi	Vice President
Larry Bickner	Vice President
Sue Ann Gillespie	Vice President
Mark P. Mincin	Vice President

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Page Three

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Page Five

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