

# 2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 21, 2003 8:00 am**  
**Secretary of State**

04-21-2003 90471 001 \*\*\*150.00

**DOCUMENT # P16011**

**1. Entity Name**  
**SENTINEL AMERICAN LIFE INSURANCE COMPANY**



**Principal Place of Business**  
**350 NORTH ST. PAUL STREET**  
**DALLAS TX 75201**  
**US**

**Mailing Address**  
**7 HANOVER SQUARE H-17-J**  
**NEW YORK NY 10004-2616**  
**US**

11005000



**2. Principal Place of Business**

**3. Mailing Address**

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

**4. FEI Number** **74-0952935**

Applied For  
Not Applicable

Zip

Country

Zip

Country

**5. Certificate of Status Desired** ☐ **\$8.75 Additional Fee Required**

**6. Name and Address of Current Registered Agent**

**7. Name and Address of New Registered Agent**

**INSURANCE COMMISSIONER**  
**THE CAPITOL**  
**TALLAHASSEE FL 32301**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

**8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.**

**SIGNATURE**

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2003 Fee will be \$550.00**  
**Make Check Payable to Florida Department of State**

**9. Election Campaign Financing** ☐ **\$5.00 May Be Added to Fees**  
Trust Fund Contribution.

**10. OFFICERS AND DIRECTORS**

**11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11**

TITLE ☐ Delete  
NAME **PCEO**  
STREET ADDRESS **DEPALO, ARMAND M**  
CITY-ST-ZIP **7 HANOVER SQ**  
**NEW YORK NY 10004-2616**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **EVCE**  
STREET ADDRESS **JONES, FRANK**  
CITY-ST-ZIP **7 HANOVER SQ**  
**NEW YORK NY 10004-2616**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **VPR**  
STREET ADDRESS **STARR, JEREMY**  
CITY-ST-ZIP **7 HANOVER SQ**  
**NEW YORK NY 10004-2616**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D**  
STREET ADDRESS **MANNING, DENNIS J**  
CITY-ST-ZIP **7 HANOVER SQ**  
**NEW YORK NY 10004-2616**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D**  
STREET ADDRESS **KANE, EDWARD**  
CITY-ST-ZIP **7 HANOVER SQ**  
**NEW YORK NY 10004-2616**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D**  
STREET ADDRESS **CARUSO, JOSEPH A**  
CITY-ST-ZIP **7 HANOVER SQ**  
**NEW YORK NY 10004-2616**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

**12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.**

**SIGNATURE:**

*Signature* **REQUIRED**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/02)

Attachment  
# P16011-11003000

SENTINEL AMERICAN LIFE INSURANCE COMPANY

TIN # 74-0952935

LIST OF OFFICERS & DIRECTORS

2003

FIRST	MIDDLE	LAST	OFFICER	DIRECTOR	TITLE	MAILING ADDRESS
Kevin	Francis	Carey	X		COUNSEL	7 Hanover Square New York, NY 10004-2616
Joseph	Anthony	Caruso	X	X	SENIOR VICE PRESIDENT & CORPORATE SECRETARY	7 Hanover Square New York, NY 10004-2616
Howard	William	Chin	X		MANAGING DIRECTOR	7 Hanover Square New York, NY 10004-2616
John	Patrick	Cifta	X		VICE PRESIDENT & CONTROLLER	7 Hanover Square New York, NY 10004-2616
Robert	John	Crimmins, Jr.	X		SENIOR DIRECTOR	7 Hanover Square New York, NY 10004-2616
Armand	Michael	DeFalo	X	X	PRESIDENT & CHIEF EXECUTIVE OFFICER / DIRECTOR	7 Hanover Square New York, NY 10004-2616
Thomas	Moore	Donohue	X		MANAGING DIRECTOR	7 Hanover Square New York, NY 10004-2616
Karen		Farnsworth-Emsdiller	X		VICE PRESIDENT, INVESTMENT & REAL ESTATE COUNSEL	7 Hanover Square New York, NY 10004-2616
Alexander	McDonald	Grant, Jr.	X		MANAGING DIRECTOR	7 Hanover Square New York, NY 10004-2616
Earl	Carlton	Harry	X		SECOND VICE PRESIDENT & TREASURER	7 Hanover Square New York, NY 10004-2616
John	Robert	Hurley	X		VICE PRESIDENT, GOVERNMENT RELATIONS	7 Hanover Square New York, NY 10004-2616
Edward	Konrad	Kane		X	DIRECTOR	7 Hanover Square New York, NY 10004-2616
Brian	Edward	Keating	X		DIRECTOR	7 Hanover Square New York, NY 10004-2616
Chi	Ming	Kwok	X		ACTUARY	7 Hanover Square New York, NY 10004-2616
Dennis	James	Manning		X	DIRECTOR	7 Hanover Square New York, NY 10004-2616
Peter	Joseph	Manzo, Jr.	X		SECOND VICE PRESIDENT, LIFE SELECTION & ASSISTANT CORPORATE SECRETARY	7 Hanover Square New York, NY 10004-2616
Mary Ann	Elizabeth	Masters	X		SECOND VICE PRESIDENT, CORPORATE TAX	7 Hanover Square New York, NY 10004-2616
Benjamin	Hood	Mitchell	X		SECOND VICE PRESIDENT & ACTUARY	7 Hanover Square New York, NY 10004-2616
John	Bernard	Murphy	X		MANAGING DIRECTOR	7 Hanover Square New York, NY 10004-2616
Karen	Louise	O'Leary	X		ASSISTANT CORPORATE SECRETARY	7 Hanover Square New York, NY 10004-2616
Alphonse	Lawrence	Padavano	X		ASSISTANT VICE PRESIDENT & ASSISTANT CONTROLLER	7 Hanover Square New York, NY 10004-2616
Stuart	John	Shaw	X		VICE PRESIDENT, GROUP WORKSITE MKT. & GROUP BUSINESS DEVELOPMENT	7 Hanover Square New York, NY 10004-2616
Thomas	George	Sorell	X		SENIOR MANAGING DIRECTOR	7 Hanover Square New York, NY 10004-2616
Jeremy	Starr		X	X	VICE PRESIDENT, REINSURANCE / DIRECTOR	7 Hanover Square New York, NY 10004-2616
Michael	Walter	Wheat	X		DIRECTOR	7 Hanover Square New York, NY 10004-2616