

P10000021350

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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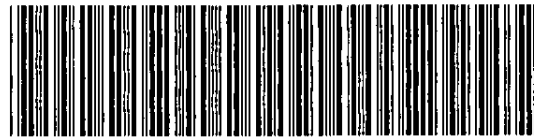
(Business Entity Name)

(Document Number)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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DEC 3 2012

T. LEWIS



DREW J. BREAKSPEAR
COMMISSIONER

STREET ADDRESS: 101 East Gaines Street, Suite 636 • PHONE (850) 410-9800 • FAX (850) 410-9548
MAILING ADDRESS: Division of Financial Institutions, 200 East Gaines Street, Tallahassee, FL 32399-0371
Visit us on the web: WWW.ITSYOURMONEYFLORIDA.COM • 850-487-9687

November 29, 2012

VIA ELECTRONIC & U.S. MAIL

Mr. Robert C. Lau
Magellan Global Corporation
2901 S. Bayshore Dr., Suite #1E
Miami, FL 33133

Re: SpareBank 1 Capital Markets, Inc.

Dear Mr. Lau:

On or about June 18, 2012, you submitted a request seeking approval for Magellan Global Corporation ("Magellan") to change its name and use the name SpareBank 1 Markets, Inc. Section 655.922, Florida Statutes, generally prohibits the use of the words "bank," "banco," "banque," "banker," "trust company," "savings and loan association," "savings bank," "credit union," or words of similar import, by any person other than a bank or trust company. Although this initial request noted that the parent company of the corporation that wished to change its name to SpareBank 1 Markets, Inc., was a Norwegian securities firm, it did not claim or disclose that the corporation had any affiliation with a bank or financial institution holding company. In correspondence dated June 20, 2012, the Office of Financial Regulation ("Office") advised you that we were unable to approve your request as the proposed name did not sufficiently distinguish the type of business being conducted from that of a bank, trust company, or holding company.

In subsequent communications with this Office, your legal counsel advised that SpareBank 1 Markets AS owned 20% of the voting stock of Magellan and had filed an application with the Financial Industry Regulatory Authority ("FINRA") to acquire the remaining 80% of Magellan's stock. Your counsel also provided information showing that SpareBank 1 Markets AS was affiliated with the SpareBank 1 banking group in Norway. On or about September 19, 2012, your counsel advised the Office that FINRA had approved the application filed by SpareBank 1 Markets AS and provided a copy of FINRA's approval letter.

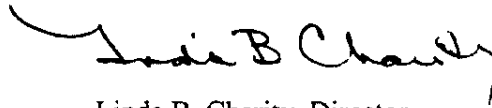
Subsequently, you filed a request seeking approval to use the above referenced name. On November 9, 2012, your counsel confirmed that with regard to use of the proposed name Magellan and its parent, SpareBank 1 Markets AS, agreed to use "SpareBank 1 Capital Markets, Inc. – a subsidiary of SpareBank 1 Markets AS, part of the SpareBank 1 Gruppen AS" in all correspondence and external communications.

FINANCIAL SERVICES COMMISSION

Mr. Robert C. Lau
Magellan Global Corporation
Page 2 of 2

Based upon the information that you have submitted and your agreement to disclose that the corporation is a part of a financial institution holding company corporate structure, it is the opinion of this Office that the proposed use of the above-referenced corporate name complies with the exception provided for subsidiaries of a financial institution holding company set forth in Section 655.922(2), Florida Statutes. Therefore, the Office does not object to your requested use of the above-referenced name to conduct business in the state of Florida.

Sincerely,

A handwritten signature in black ink, appearing to read "Linda B. Charity". The signature is fluid and cursive, with a long horizontal stroke at the beginning and a loop at the end.

Linda B. Charity, Director
Division of Financial Institutions

LBC:jal

cc: Brenda Tadlock, Chief, Bureau of Commercial Recordings, Division of Corporations,
Department of State
Lou Anne McInnis, Esq.

Magellan Global Partners Inc.

November 9, 2012

Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Subject: Amendment to Articles of Incorporation of Magellan Global Partners Inc.

Enclosed is an original and one (1) copy of an Amendment to the Articles of Incorporation changing the name of the corporation, Magellan Global Partners Inc., to SpareBank 1 Capital Markets Inc.

Please contact the undersigned if you have any questions with respect to this filing.

Thank you.

Robert C. Lau
2901 South Bayshore Drive, #1E
Miami, FL 33133

Telephone: 305-444-3598

Transmittal Letter

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Subject: Amendment to Articles of Incorporation of Magellan Global ~~Corporation~~

Partners Inc.

Enclosed is an original and one (1) copy of an Amendment of the Articles of Incorporation changing the name of the corporation.

Thank you.

**Robert C. Lau
2901 South Bayshore Drive, #1E
Miami, FL 33133**

Telephone: 305-444-3598



FLORIDA DEPARTMENT OF STATE
Division of Corporations

May 25, 2012

ROBERT C. LAU
2901 SOUTH BAYSHORE DRIVE, #1E
MIAMI, FL 33133

Partners Inc.

SUBJECT: MAGELLAN GLOBAL CORPORATION
Ref. Number: P10000051053
21350

partners

We have received your document for MAGELLAN GLOBAL CORPORATION and check(s) totaling \$35.00. However, the enclosed document has not been filed and is being returned to you for the following reason(s):

Written approval and clearance of the words BANK, BANC, BANCO, BANQUE, BANKER, BANKING, TRUST COMPANY, SAVINGS AND LOAN ASSOCIATION, SAVINGS BANK or CREDIT UNION, or words of similar import in any context or any manner must be obtained from the Office of Financial Regulation, pursuant to section 655.922(2a), Florida Statutes.

Enclosed is a "Corporate Name Approval Request" form to be completed and sent to the address indicated on the form. If the proposed name is approved by the Office of Financial Institutions, resubmit the document and the approval letter to the Division of Corporations for filing. The Office of Financial Institutions' phone number is 850-410-9800.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6050.

Sylvia Gilbert
Regulatory Specialist II

Letter Number: 312A00015296

FILED

2012 DEC -3 PM 12:34

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**AMENDMENT
TO
ARTICLES OF INCORPORATION
OF
MAGELLAN GLOBAL PARTNERS INC.**

Pursuant to the provisions of section 607.1006, Florida Statutes, this Florida profit corporation adopts the following articles of amendment to its Articles of Incorporation:

The undersigned President, Chief Executive Officer and Sole Director and Secretary of the corporation, acknowledges and files in the Office of the Secretary of State of the State of Florida, the following Amendment to the Articles of Incorporation, adopted by the Shareholders November 8, 2012

ARTICLE I

Name

The name of the corporation is changed to SpareBank 1 Capital Markets Inc.

The Amendment was approved by the Shareholders of the corporation on the date first written above. The number of votes cast for the amendment were sufficient for approval.



Robert C. Lau, President, Chief Executive Officer & Sole Director