

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 21, 2003 8:00 am
Secretary of State

04-21-2003 90323 011 ***150.00

DOCUMENT # P08707

1. Entity Name
H.D. VEST INVESTMENT SECURITIES, INC.



Principal Place of Business
**6333 N. STATE HWY. 161 #400
IRVING TX 75038**

Mailing Address
**6333 N. STATE HWY. 161 #400
IRVING TX 75038**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **75-1869963**

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

☐ CHECK HERE IF MAKING CHANGES



6. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE FL 32301-2525**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE	PD	☒ Delete
NAME	KLEIN, JEFF	
STREET ADDRESS	6333 N STATE HWY 161, SUITE 400	
CITY-ST-ZIP	IRVING TX 75038	
TITLE	VP	☒ Delete
NAME	HORTON, JAMES A	
STREET ADDRESS	WELLS FARGO & CO, 6TH & MARQUETTE	
CITY-ST-ZIP	MINNEAPOLIS MN 55479	
TITLE	VP	☒ Delete
NAME	SCHNEIDER, THOMAS J	
STREET ADDRESS	WELLS FARGO & CO, 6TH & MARQUETTE	
CITY-ST-ZIP	MINNEAPOLIS MN 55479	
TITLE	SD	☒ Delete
NAME	NORWOOD, R BREDT	
STREET ADDRESS	6333 N STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING TX 75038	
TITLE	ASD	☒ Delete
NAME	OCHS, ROGER C	
STREET ADDRESS	6333 N STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING TX 75038	
TITLE	AS	☐ Delete
NAME	HEIFETZ, NEAL	
STREET ADDRESS	6333 N STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING TX 75038	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	PD	☒ Change ☐ Addition
NAME	OCHS, ROGER C.	
STREET ADDRESS	6333 N. STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING, TX 75038	
TITLE	ASD	☐ Change ☒ Addition
NAME	JEFF KLEIN	
STREET ADDRESS	6333 N. STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING, TX 75038	
TITLE	TREASURER	☐ Change ☒ Addition
NAME	JOEL BENNETT	
STREET ADDRESS	6333 N. STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING, TX 75038	
TITLE	SD	☐ Change ☒ Addition
NAME	OCHS, ROGER C.	
STREET ADDRESS	6333 N. STATE HWY 161, STE 400	
CITY-ST-ZIP	IRVING, TX 75038	
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: JOEL BENNETT, TREASURER
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Joel Bennett 4-15-03 (972) 870-6000
Date Daytime Phone #

CR2E034 (10/02)