

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P08707

FILED
Mar 22, 2012
Secretary of State

Entity Name: H.D. VEST INVESTMENT SECURITIES, INC.

Current Principal Place of Business:

6333 N. STATE HWY. 161
STE 400
IRVING, TX 75038

New Principal Place of Business:

6333 N. STATE HWY. 161
SUITE 400
IRVING, TX 75038

Current Mailing Address:

PO BOX 140189
HD VEST ACCOUNTING
IRVING, TX 75014

New Mailing Address:

FEI Number: 75-1869963 **FEI Number Applied For ()** **FEI Number Not Applicable ()** **Certificate of Status Desired ()**

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

03/22/2012

Date

OFFICERS AND DIRECTORS:

Title: PSD
Name: OCHS, ROGER C
Address: 6333 N. STATE HWY 161, SUITE 400
City-St-Zip: IRVING, TX 75038

Title: AS
Name: KLEIN, JEFF
Address: 6333 N. STATE HWY 161, SUITE 400
City-St-Zip: IRVING, TX 75038

Title: T
Name: BENNETT, JOEL
Address: 6333 N. STATE HWY 161, SUITE 400
City-St-Zip: IRVING, TX 75038

Title: AS
Name: GRIFFIN, CASEY
Address: 6333 N. STATE HWY 161, SUITE 400
City-St-Zip: IRVING, TX 75038

Title: AS
Name: HEIFETZ, NEAL
Address: 6333 N. STATE HWY 161, SUITE 400
City-St-Zip: IRVING, TX 75038

Title: AS
Name: STERN, BRIAN A
Address: 6333 N. STATE HWY 161, SUITE 400
City-St-Zip: IRVING, TX 75038

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOEL BENNETT

Electronic Signature of Signing Officer or Director

T

03/22/2012

Date