4/1

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
May 03, 2001 8:00 am
Secretary of State
04-12-2001 90072 001 ***300.00

DOCUMENT # P00000005603

1. Entity Name
TRADESTATION GROUP, INC.

Principal Place of Business	Mailing Address
8700 W. FLAGLER ST., SUITE 250 MIAMI FL 33174	8700 W. FLAGLER ST., SUITE 250 MIAMI FL 33174

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	3. Mailing Address
Suite, Apt. #, etc.	Suite, Apt. #, etc.
City & State	City & State
Zip / Country	Zip / Country

4. FEI Number 65-0979576 ☐ Applied For ☐ Not Applicable

5. Certificate of Status Desired ☐ **$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent	7. Name and Address of New Registered Agent
STONE, MARC J 8700 W. FLAGLER ST., SUITE 250 MIAMI FL 33174	Name Street Address (P.O. Box Number is Not Acceptable) City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

FILE NOW!!! FEE IS $150.00
After MAY 1, 2001 Fee will be $550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ **$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS			12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D CRUZ, WILLIAM R 8700 W. FLAGLER ST., SUITE 250 MIAMI FL 33174	☒ Delete	D/C/CEO CRUZ, WILLIAM R. 8700 W. FLAGLER ST., SUITE 250 MIAMI, FL 33174	☒ Change	☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D CRUZ, RALPH L 8700 W. FLAGLER ST., SUITE 250 MIAMI FL 33174	☒ Delete	D/C/CEO CRUZ, RALPH L. 8700 W. FLAGLER ST., SUITE 250 MIAMI, FL 33174	☒ Change	☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D SRENDI, SALOMON 8700 W. FLAGLER ST., SUITE 250 MIAMI FL 33174	☒ Delete	D/P/COO SRENDI SALOMON 8700 W. FLAGLER STREET, SUITE 250 MIAMI, FL 33174	☒ Change	☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP		☐ Delete	D/V SMITH, BRIAN D. 8700 W. FLAGLER ST., SUITE 250 MIAMI, FL 33174	☐ Change	☒ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP		☐ Delete	D RICHARDS, STEPHEN C. 8700 W. FLAGLER STREET, SUITE 250 MIAMI, FL 33174	☐ Change	☒ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP		☐ Delete	D/V TAFAZZOLI, FARSHID 8700 W. FLAGLER STREET, SUITE 250 MIAMI, FL 33174	☒ Change	☐ Addition

CR2E034 (10/00)

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: _____ MARC J. STONE 3/19/01 305 485-7021
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #

Addendum to 2001, Uniform Business Report
Document #: P00000005603
Entity Name: TradeStation Group, Inc.

Block 12: Additions/Changes to Officers and Directors

ADDITION:	Title:	D/V
	Name:	ZUM TOBEL, E. STEVEN
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	D
	Name:	MAYER, LOTHAR
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V/S
	Name:	STONE, MARC J.
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	CFO/V/T
	Name:	FLEISCHMAN, DAVID H.
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	PEREZ, JANETTE
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	SHAFFER, ROGER L.
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	DAVIS, SEAN D.
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	BODIE, LEONA
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174

1504L

Addendum to 2001, Uniform Business Report
Document #: F99932
Entity Name: TradeStation Technologies, Inc.

Block 12: Additions/Changes to Officers and Directors

ADDITION:	Title:	V
	Name:	PEREZ, JANETTE
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	JENNINGS, JOHN
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	MERAY, CHRISTOPHE
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	EVANS, CHRISTOPHER
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	DEV, JAY
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V
	Name:	BARNES, DAVID
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174
ADDITION:	Title:	V/CFO
	Name:	FLEISCHMAN, DAVID H.
	Street Address:	8700 W. FLAGLER ST, STE. 250
	City, St., Zip:	MIAMI, FL 33174