

N97000005787

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

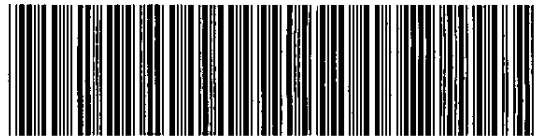
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SECRETARY OF STATE
DIVISION OF CORPORATIONS
09 SEP 30 PM 12:07

T. Roberts OCT 01 2009



FLORIDA DEPARTMENT OF STATE
Division of Corporations

August 24, 2009

CAROL W. WOOD
SOUTHWEST FLORIDA ESTATE PLANNING COUNCIL
P O BOX 2098
SARASOTA, FL

SUBJECT: SOUTHWEST FLORIDA ESTATE PLANNING COUNCIL, INC.
Ref. Number: N97000005787

We have received your document for SOUTHWEST FLORIDA ESTATE PLANNING COUNCIL, INC. and your check(s)-totaling \$35.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

Page 3 of the amendment form is missing. Please complete the attached page 3 and return with the entire amendment form for filing. Please entitle the attached articles as ARTICLES OF AMENDMENT TO ARTICLES OF INCORPORATION OF SOUTHWEST FLORIDA ESTATE PLANNING COUNCIL, INC.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6892.

Tina Roberts
Regulatory Specialist II

Letter Number: 509A00028509

RECEIVED
2009 SEP 30 AM 8:00
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

SHEA WOOD

ATTORNEYS & COUNSELORS AT LAW

JOHN J. SHEA, P.A.

JOHN J. SHEA
Attorney at Law

CAROL W. WOOD, P.A.

CAROL W. WOOD
Attorney at Law

September 28, 2009

Ms. Tina Roberts
Regulatory Specialist II
Florida Department of State
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Re: Letter Number 509A00028509
Southwest Florida Estate Planning Council

Dear Ms. Roberts:

Enclosed please find the entire amendment form including page 3, which was signed by Randall J. Crete, current president of the Council.

Also enclosed are the retitled Articles of Amendment to Articles of Association of the Southwest Florida Estate Planning Council. As our organization has never used the word "incorporation" in its Articles of Association, we have left it "Articles of Association."

Should you have any questions, please contact me.

Very truly yours,



Carol W. Wood, Esq.
Second Vice President
Southwest Florida Estate Planning Council

CWW/ceh
Enclosures
cc: Randall J. Crete

COVER LETTER

TO: Amendment Section
Division of Corporations

NAME OF CORPORATION: Southwest Florida Estate Planning Council, Inc.

DOCUMENT NUMBER: N97000005787

The enclosed *Articles of Amendment* and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Carol W. Wood
(Name of Contact Person)

Southwest Florida Estate Planning Council, Inc.
(Firm/ Company)

Post Office Box 2098
(Address)

Sarasota, Florida 34230-2098
(City/ State and Zip Code)

cwood@sheawood.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Carol Hardt at (941) 487-2900
(Name of Contact Person) (Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount made payable to the Florida Department of State:

- | | | | |
|---|---|---|---|
| <input checked="" type="checkbox"/> \$35 Filing Fee | <input type="checkbox"/> \$43.75 Filing Fee & Certificate of Status | <input type="checkbox"/> \$43.75 Filing Fee & Certified Copy
(Additional copy is enclosed) | <input type="checkbox"/> \$52.50 Filing Fee Certificate of Status Certified Copy
(Additional Copy is enclosed) |
|---|---|---|---|

Mailing Address
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
09 SEP 30 PM 12:07

Articles of Amendment
to
Articles of Incorporation
of

Southwest Florida Estate Planning Council, Inc.

(Name of Corporation as currently filed with the Florida Dept. of State)

N97000005787

(Document Number of Corporation (if known))

Pursuant to the provisions of section 617.1006, Florida Statutes, this *Florida Not For Profit Corporation* adopts the following amendment(s) to its Articles of Incorporation:

A. If amending name, enter the new name of the corporation:

N/A

The new name must be distinguishable and contain the word "corporation" or "incorporated" or the abbreviation "Corp." or "Inc." "Company" or "Co." may not be used in the name.

B. Enter new principal office address, if applicable:
*(Principal office address **MUST BE A STREET ADDRESS**)*

N/A

C. Enter new mailing address, if applicable:
*(Mailing address **MAY BE A POST OFFICE BOX**)*

N/A

D. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:

Name of New Registered Agent:

N/A

New Registered Office Address:

(Florida street address)

(City), Florida
(Zip Code)

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.

Signature of New Registered Agent, if changing

If amending the Officers and/or Directors, enter the title and name of each officer/director being removed and title, name, and address of each Officer and/or Director being added:

(Attach additional sheets, if necessary)

<u>Title</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
_____	<u>See Attached List</u>	_____	<input type="checkbox"/> Add <input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add <input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add <input type="checkbox"/> Remove

E. If amending or adding additional Articles, enter change(s) here:

(attach additional sheets, if necessary). (Be specific)

Articles of Amendment to
See attached revised Articles of Incorporation of the
Southwest Florida Estate Planning Council, Inc.

The date of each amendment(s) adoption: May 20, 2009

(date of adoption is required)

Effective date if applicable: May 21, 2009

(no more than 90 days after amendment file date)

Adoption of Amendment(s) (CHECK ONE)

The amendment(s) was/were adopted by the members and the number of votes cast for the amendment(s) was/were sufficient for approval.

There are no members or members entitled to vote on the amendment(s). The amendment(s) was/were adopted by the board of directors.

Dated 09-28-09

Signature 

(By the chairman or vice chairman of the board, president or other officer-if directors have not been selected, by an incorporator – if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

Randall J. Crete

(Typed or printed name of person signing)

President

(Title of person signing)

Changes to the Officers of the Southwest Florida Estate Planning Council:

Remove:	PD	Peter, Marjorie A.	1515 Ringling Blvd. Sarasota, FL 34236
Remove:	VPD	Crete, Randall J.	1605 Main Street, Suite 104 Sarasota, FL 34236
Remove:	VPD	Glass, Michael J.	2501 S. Tamiami Trail Sarasota, FL 34239
Remove:	SD	Wood, Carol W.	269 S. Osprey Ave., Suite 100 Sarasota, FL 34236
Remove:	TD	Butler, Christine A.	1605 Main Street, Suite 800 Sarasota, FL 34236
Add:	PD	Crete, Randall J.	1605 Main Street, Suite 104 Sarasota, FL 34236
Add:	VPD	Glass, Michael J.	2501 S. Tamiami Trail Sarasota, FL 34239
Add:	VPD	Wood, Carol W.	269 S. Osprey Ave., Suite 100 Sarasota, FL 34236
Add:	SD	Butler, Christine A.	1605 Main Street Suite 800 Sarasota, FL 34236
Add:	TD	Parrott, W. Michael	1605 Main Street, Suite 1110 Sarasota, FL 34236

**ARTICLES OF AMENDMENT TO ARTICLES OF INCORPORATION OF THE
SOUTHWEST FLORIDA ESTATE PLANNING COUNCIL**

I. NAME

The name of this association shall be the "Southwest Florida Estate Planning Council, *Inc.*"

II. OBJECTIVE

The objective of Council shall be:

- 1) To promote cooperation among legal, tax, financial services and charitable endowment professionals who assist clients in the estate planning process and to foster a better understanding of the responsibilities each group has to the client.
- 2) To provide a better understanding of the services and products that legal, tax, financial services and charitable endowment professionals provide, thereby enhancing the coordination among these professionals.
- 3) To promote the interests of its members and to expand the availability of coordinated estate planning services to the general public.

III. MEMBERSHIP

The membership of this Council shall consist of:

- 1) Financial services professionals with a significant portion of their business focused on the coordination of the client's financial plan with the client's estate plan and tax plan. Financial services professionals must have practiced in Florida for a minimum of 12 consecutive months and must demonstrate qualification by obtaining and maintaining one or more of the following applicable certifications:
 - a) Certified Trust and Financial Advisor (CTFA® American Bankers Association), provided however that any Trust Officer who became a member prior to May 31, 2009, without an applicable certification may continue as a member as long as the Trust Officer is employed by a trust company or trust department of a bank.
 - b) Certified Financial Planner® (CFP® Certified Financial Planner Board of Standards, Inc.);
 - c) Chartered Life Underwriter® (CLU® The American College);

- 2) Attorneys who are admitted to the Florida Bar and who have practiced in Florida for a minimum of 12 consecutive months, primarily in the area of wills, trusts and estates.
- 3) Certified Public Accountants who are licensed by the State of Florida and who have practiced in Florida for a minimum of 12 consecutive months.
- 4) Charitable Endowment Members. Any public foundation with an endowment of Ten Million Dollars (\$10,000,000.00) or more may designate an employee of that foundation as its member representative. The member representative shall be the CEO (or equivalent position) of the public foundation, or the officer (or equivalent position, such as the Chief Development Officer) whose role is to primarily work with donors and estate, tax and financial planning professionals. The member representative of a Charitable Endowment member shall not be entitled to serve as an officer.

Members who are retired from the professions listed above and who are not actively pursuing another profession may be members. A retired member shall not have any voting rights and shall not be entitled to serve as an officer. A retired member must have been an active member for three years prior to becoming a retired member.

Applicants for membership, in addition to meeting the applicable professional qualifications, must be recommended for membership by two (2) members in good standing. One recommending member must be employed in the same profession as the applicant and the other must be from one of the other three membership groups. Members recommending new candidates shall give careful consideration to the objectives of the Council and attest to the applicant's qualifications. Furthermore, they shall proactively encourage new members they recommend to regularly attend meetings and to participate in the leadership of the organization should they be asked to serve.

All members (other than retired members) must be actively practicing the professions designated above in the counties of Manatee, Desoto and Sarasota, Florida, plus additional counties in the state of Florida as the Executive Committee shall wish to include.

The charter members shall be those adopting and signing these Articles of Association on or before April 30, 1964. Subsequent members of any of the said membership groups shall be elected by a majority vote of the Executive Committee.

No professional membership group shall have more than 50% of the total membership. All additional approved applications shall be placed upon a waiting list with applicants admitted to membership in the order in which their applications were approved.

Any member of a professional group with a waiting list, who shall fail to attend at least 50% of all "technical" meetings (meetings other than the annual, general meeting) of the Council for any fiscal year, shall be dropped from membership unless, upon good cause shown, the Executive Committee of the Council shall determine otherwise.

IV. EXECUTIVE COMMITTEE

All powers necessary for the government of the Council shall be vested in an Executive Committee composed of the officers. The officers will contain a representative of each of the groups in the Council, excluding Charitable Endowment Members.

V. OFFICERS

The officers of the Council shall consist of a President, a first Vice-President, a second Vice-President, a Secretary and a Treasurer.

VI. QUORUMS

Any three members of the Executive Committee shall constitute a quorum for the transaction of business. The Executive Committee shall have the power to fill, for the unexpired term, any vacancy which may occur in their own body by a concurrence of at least three members. Six members present at a regular meeting of the Council shall constitute a quorum for the transaction of business.

VII. NOMINATIONS AND ELECTIONS

At the annual meeting of the Council, the members of the Executive Committee shall be elected by a majority vote of the members then present, after nominations from the floor. Each member of the Executive Committee shall hold his or her respective position until his or her successor is duly elected and qualified.

VIII. ANNUAL MEETING

The annual meeting of the Council shall be held in the month of May of each year, at such time and place as may be selected by the Executive Committee. The Secretary shall mail each member a notice of the meeting at least five days prior to the date thereof.

IX. EXECUTIVE COMMITTEE MEETINGS

Meetings of the Executive Committee may be called by the President in his or her discretion, or by any three members of the Executive Committee. It shall be the duty of the Executive Committee to establish rules of procedure and practice for its meetings, subject to the approval of, or amendment by, the Council.

X. COMMITTEES

The President of the Council and in his or her absence, the first Vice-President with the advice and consent of the Executive Committee, shall have the power to appoint committees on Programs, Membership, Ethics, Cooperation, Education, Legislation, and Publicity, and such other committees as he or she shall deem advisable to further the interest of the Council and its members, and to delegate to such committee such power and authority as the Executive Committee shall deem advisable.

XI. MEETINGS

Meetings for the furtherance of the objectives of this association may be called by the Executive Committee at stated times, or from time to time in its discretion. The program of such meetings shall be arranged by the Executive Committee and the Program Committee. Any member may, in writing addressed to the Secretary, request the Executive Committee to bring up for discussion at any meeting, except the annual meeting, any matter in which he or she may be interested.

XII. DUTIES OF THE OFFICERS

The President shall preside at all meetings of the Council and the Executive Committee, and perform the duties herein set out.

The first Vice-President shall perform the duties of the President in the absence of the President.

The second Vice-President shall perform the duties assigned by the President.

The Secretary shall keep a record of proceedings of all meetings of the Council and the Executive Committee, and he or she shall be responsible for the mailings of notices of meetings and other communications to members.

The Treasurer shall have custody of all funds and property of the Council. He or she shall deposit all funds of the Council in the name of the Council in a bank or trust company located in Southwest Florida. All withdrawals of such funds shall be on checks or order signed by the Treasurer or President. He or she shall prepare and submit a statement of the financial condition of the Council at the annual meeting and at such time and in such manner as the Executive Committee may require.