

BUCHANAN ASSOCIATES

M99000001865

November 8, 1999

W99-26214

Registration Section  
Division of Corporations  
P.O.Box 6327  
Tallahassee, FL 32314

000003042900--5  
-11/12/99--01091--010  
- \*\*\*293.75 \*\*\*130.00

Re: A. K. Capital, LLC


Dear Madam or Sir,

MJH

Please find enclosed:

1. Application;
2. Affidavit;
3. Designation of Registered Agent;
4. Original Certificate of Existence; and
5. One Check in the amount of \$293.75 covering the filing fee for the Application and Affidavit, Designation of Registered Agent and Certificate of Status made payable to the Florida Department of State.

Thank you for your anticipated assistance in the above matter.

  
 John A. Hagen  
 Consultant

SECRETARY OF STATE  
 DIVISION OF CORPORATIONS  
 99 NOV 29 PM 1:28



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

November 15, 1999

JOHN A. HAGEN  
BUCHANAN ASSOCIATES  
67 WALL STREET, 25TH FLOOR  
NEW YORK, NY 10005

SUBJECT: A.K. CAPITAL, LLC  
Ref. Number: W99000026216

We have received your document for A.K. CAPITAL, LLC and your check(s) totaling \$293.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The fees to file a Florida Limited Liability Company or register a Foreign Limited Liability Company are as follows: \$100 filing fee; and \$25 registered agent designation fee. Please include an additional \$30 for each certified copy requested (optional) and \$5.00 for each certificate of status requested (optional).

Please complete the highlighted areas of the enclosed refund application and return with the corrected documents for processing. You have overpaid by \$163.75.,

Effective October 1, 1999, Chapter 608, Florida Statutes, does not require or permit the filing of an "Affidavit of Membership and Capital Contributions." Therefore, the enclosed document has not been filed and is being returned to you.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6967.

Michelle Hodges  
Document Specialist

Letter Number: 199A00054733

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. AK CAPITAL LLC  
(Name of foreign limited liability company)

2. NEW YORK STATE 3. 13-4032945  
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. 11/16/1998 5. PERPETUAL  
(Date of Organization) (Duration: Year limited liability company will cease to exist or "perpetual")

6. BUSINESS WILL BE TRANSACTED UPON APPROVAL  
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))

7. 445 PARK AVENUE - 9TH FLOOR  
NEW YORK, N.Y. 10022  
(Street address of principal office)

8. If limited liability company is a manager-managed company, check here

9. The name and usual business addresses of the managing members or managers are as follows:

ANDREW KOWALCZYK - 445 PARK AVENUE, NEW YORK, NY 10022

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: SECURITIES

BROKERAGE

Carolyn J. Kasky  
Signature of a member or an authorized representative of a member.  
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

CAROLYN J. KASKY  
Typed or printed name of signee

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
99 NOV 29 PM 1:28

### CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

A.K. CAPITAL LLC

2. The name and the Florida street address of the registered agent and office are:

PETER J. MeLO

(Name)

100 COLLINS AVENUE - UNIT #4

Florida street address (P.O. Box NOT ACCEPTABLE)

MIAMI

FL 33139

City/State/Zip

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*



(Signature)

**Filing Fee: \$35 for Designation of Registered Agent**

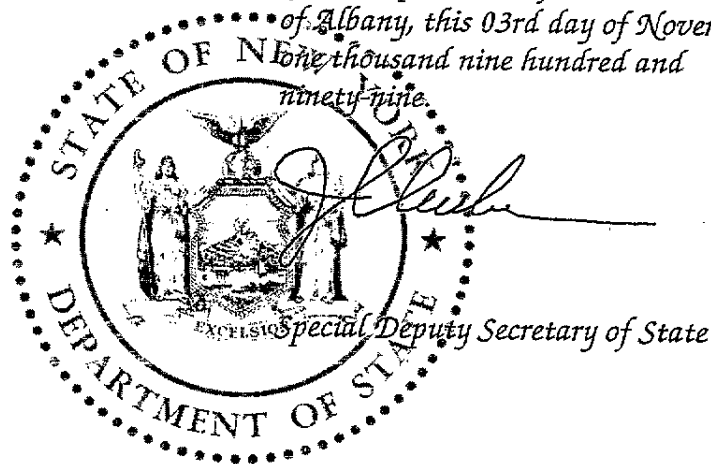
State of New York  
Department of State } ss:

I hereby certify, that AK CAPITAL LLC a NEW YORK limited liability company filed a Certificate of Articles of Organization pursuant to section 203 of the Limited Liability Company Law on 11/03/1998, and that the limited liability company is subsisting so far as shown by the records of the Department.

The limited liability company has not filed proofs of publication under section 206 of the Limited Liability Company Law.

\*\*\*

Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 03rd day of November  
One thousand nine hundred and  
ninety-nine.



199911040128 56