

2003 LIMITED LIABILITY COMPANY UNIFORM BUSINESS REPORT (UBR)

FILED
Jul 28, 2003 8:00 am
Secretary of State

07-28-2003 90064 017 *****50.00

DOCUMENT # M99000001304

1. Entity Name

AXA ADVISORS, LLC



Principal Place of Business

**1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104**

Mailing Address

**1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104**

2. Principal Place of Business

3. Mailing Address

1290 AVENUE OF THE AMERICAS

Suite, Apt. #, etc.

Suite, Apt. #, etc.

ATTN: B. FISCHER - 12TH FLOOR

City & State

City & State

NEW YORK, NEW YORK

Zip

Country

Zip

10104

Country

USA

4. FEI Number **13-4071393**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$5.00 Additional
Fee Required

☒ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$50.00
Make Check Payable to Florida Department of State
Due By September 24, 2003

9. MANAGING MEMBERS/MANAGERS

10. ADDITIONS/CHANGES

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
LEFFERTS, JOHN
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
HAMPTON, JERALD E.
1290 AVENUE OF THE AMERICAS
NEW YORK, NY 10104** ☐ Change ☒ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
BLITZ, HARVEY E
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
CONINE, DAVID
1345 AVENUE OF THE AMERICAS
NEW YORK, NY 10105** ☐ Change ☒ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
SILVER, RICHARD V
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
WIRTSHAFTER, TOM
1290 AVENUE OF THE AMERICAS
NEW YORK, NY 10104** ☐ Change ☒ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
MARTIN, MICHAEL S
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
MCGUNAGLE, G. PATRICK
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**MGR
WUTT, MARK R
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE:

MARK R WUTT

07/24/03

(212) 314-3846

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

Date

Daytime Phone #

CR2E083 (4/03)