


**2003 LIMITED LIABILITY COMPANY
UNIFORM BUSINESS REPORT (UBR)**

FILED
Feb 17, 2003 8:00 am
Secretary of State

02-17-2003 90003 011 ****50.00

DOCUMENT # M99000000557

1. Entity Name
PARK AVENUE SECURITIES LLC



Principal Place of Business Mailing Address

**7 HANOVER SQUARE
NEW YORK NY 10004** **81 HIGHLAND AVE.
MAIL STATION A-259
BETHLEHEM PA 18017**

2. Principal Place of Business 3. Mailing Address

Suite, Apt. #, etc. Suite, Apt. #, etc.

City & State City & State

Zip Country Zip Country



CHECK HERE IF MAKING CHANGES

4. FEI Number **13-4023176** Applied For
Not Applicable

5. Certificate of Status Desired **\$5.00 Additional Fee Required**

6. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE FL 32301-2525**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

FILE NOW!!! FEE IS \$50.00
Make Check Payable to Florida Department of State
Due By May 1, 2003

9. MANAGING MEMBERS/MANAGERS		10. ADDITIONS/CHANGES	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	P MACK, JOANNE B 40 EAST 10TH STREET NEW YORK NY 10003 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP NURGE-ALWS, THERESA 12 SURREY ROAD MASSAPEQUA NY 11758 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP BURNS, WILLIAM J 206 BURNS STREET FOREST HILLS NY 11375 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP CLEMENTS, JAMES E 88 SKYTOP ROAD NEWTON NJ 07860 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR SARGENT, JOSEPH D 7 HANOVER SQUARE NEW YORK NY 10004 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR JONES, FRANK J 7 HANOVER SQUARE NEW YORK NY 10004 <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: _____ **SIGNATURE REQUIRED** Date **1/18/2003** Daytime Phone # _____

CR2E083 (10/02)

Attachment

30036440

19900000557

PARK AVENUE SECURITIES LLC

OFFICER ROSTER

<u>Office/Title</u>	<u>Officer Name</u>
President	Joanne B. Mack
Vice President & Chief Compliance Officer	William J. Burns
Vice President & Corporate Secretary	Joseph A. Caruso
Vice President & Chief Financial Officer	Mark A. Silberman
Vice President, Commission	Cheryl L. Crawford
Vice President, Investment Advisory Services	James E. Clements
Vice President & Marketing Director	Nancy Moses Tepper
Vice President, Operations	Michael D. Fleming
Vice President & Chief Operations Officer	Theresa H. Nurge-Alws
Vice President, Technology	Robert McIsaac
Vice President & Counsel	Richard T. Potter, Jr.
Second Vice President, Operations	Georgia L. Gaidula
Assistant Vice President, Trade Surveillance	Alfred J. D'Urso
Assistant Vice President, Customer Complaints & Regulatory Reporting	Stephen Buell
Assistant Vice President, Branch Examinations	Arthur Zottola
Assistant Vice President, Investment Adviser Compliance	Patrick Burns
Assistant Vice President, Advertising & Communications	John S. McNulty
Assistant Vice President & Controller	Salvatore Troia
Assistant Vice President Technology	Gary M. Ungar
Treasurer	Earl C. Harry
Assistant Corporate Secretary & Secretary Pro tem	Karen L. Olvany
Director, Agent Contract & Licensing	Gregory Blazinski
Director, VIP Services	Jason M. Eskenazi
Assistant Director, Licensing	Carol J. Yamboa

Effective September 2002