

2001 UNIFORM BUSINESS REPORT (UBR)

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DOCUMENT # M99000000557
 1. Entity Name
PARK AVENUE SECURITIES LLC

FILED
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Principal Place of Business
 7 HANOVER SQUARE
 NEW YORK NY 10004

Mailing Address
 7 HANOVER SQUARE
 NEW YORK NY 10004

SECRETARY OF STATE
 TALLAHASSEE, FLORIDA



2. Principal Place of Business
 Suite, Apt. #, etc.
 City & State
 Zip

3. Mailing Address
 81 Highland Ave.
 Suite, Apt. #, etc.
 Mail Station A-259
 City & State
 Bethelhem, PA
 Zip
 18017

DO NOT WRITE IN THIS SPACE

4. FEI Number **13-4023176** Applied For
 Not Applicable

5. Certificate of Status Desired \$5.00 Additional Fee Required

6. Name and Address of Current Registered Agent
CORPORATION SERVICE COMPANY
 1201 HAYS STREET
 TALLAHASSEE FL 32301-2525

7. Name and Address of New Registered Agent
 Name
 Street Address (P.O. Box Number is Not Acceptable)
 City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

See Attached

FILE NOW!!! FEE IS \$50.00
Make Check Payable to Department of State

7000003743647--7
 -02/20/01--01083--026
 *****50.00 *****50.00

9. MANAGING MEMBERS/MEMBERS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR DUTTER, PHILIP H 29 MONTGOMERY RD GREENWICH CT 06831	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR WARREN, WILLIAM C 825 8TH AVE NEW YORK NY 10019	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR FERRARA, ARTHUR V 70 BALDWIN FARMS SO. GREENWICH CT 06831	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR FUTIA, LEO R 18 INTERLAKEN ROAD GREENWICH CT 06830	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR SARGENT, JOSEPH D 7 HANOVER SQUARE NEW YORK NY 10004	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MGR JONES, FRANK J 7 HANOVER SQUARE NEW YORK NY 10004	<input type="checkbox"/> Delete

10. ADDITIONS/CHANGES

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
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TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: _____
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

2/6/2001 610-807-7770
 Date Daytime Phone #

CR2E083 (11/00)

202

PARK AVENUE SECURITIES LLC
7 Hanover Square, New York, New York 10004
 September 30, 2000

Corporate Officers

NAME	TITLE	SS#	RESIDENT ADDRESS	BIRTH DATE
Theresa Nurge-Alws	Vice President, Chief Operations Officer & Interim President	115-48-7334	12 Surrey Road, Massapequa NY 11758	07/18/60
William Burns	Vice President & Chief Compliance Officer	071-48-0823	206 Burns Street, Forest Hills NY 11375	08/09/61
Cheryl L. Crawford	Vice President & Controller	269-58-0335	24 Highwood Road, West Orange NJ 07052	01/19/55
Joseph A. Caruso	Vice President & Corporate Secretary	144-42-9413	19 Brookside Drive, New Providence NJ	06/13/52
Earl Harry	Treasurer	098-46-3960	42 Sunset Avenue, Wheatley Heights NY 11794	03/22/49
Richard T. Potter, Jr.	Vice President & Counsel	103-46-6915	415 Colonial Drive, Ridgewood NJ 07450	07/20/54
Douglas S. Crossman	Vice President, Sales Director	140-80-4090	42 Oakridge Road, Bloomfield NJ 07003	03/14/72
James E. Clements	Vice President, Investment Advisory Services	150-60-6147	88 Skytop Road, Newton NJ 07860	07/08/68
Michael Fleming	Vice President, Operations	128-54-3948	58 Colgate Avenue, Yonkers NY 10703	07/06/xx
Georgia Gaidula	Second Vice President, Operations	162-40-1652	1904 Math St., Hellertown PA 18055	09/26/48
Karen Tomei	Asst. Vice President & Broker/Dealer Relations Manager	060-54-7601	423 E. 83 rd St., Apt. 3G, New York, NY 10028	07/06/68
Gregory Blazinski	Director, Agent Contracting & Licensing	136-48-3716	4211 Alder Road, Bethlehem PA 18020	
Lynda Nixon	Director, Licensing	007-52-8095	1175 Sunset View Drive, Bethlehem PA 18017	
Carol J. Yambao	Assistant Director, Licensing	146-70-8553	1805 Markham Drive, Bethlehem PA 18017	
Karen L. Olvany	Asst. Secretary & Secretary, Pro tem	081-50-7359	5 Geiger Place, Huntington Station NY 11746	09/03/57

Managers

NAME	TITLE	SS#	RESIDENT ADDRESS	BIRTH DATE
Philip H. Dutter	Manager	328-22-4767	29 Montgomery Lane, Greenwich, CT 06830	01-11/26
William C. Warren	Manager	271-051-0984	325 Crest Road, Ridgewood, NJ	02/03/09
Arthur V. Ferrara	Manager	065-24-0650	70 Baldwin Farms South, Greenwich, CT 06831	08-12-30
Leo R. Futia	Manager	106-07-6704	18 Interlaken Road, Greenwich, CT 06830	08-27-19
Joseph D. Sargent	Manager	271-05-0984	90 Lancelot Drive, Fairfield, CT 06430	04-16-37
Frank J. Jones	Manager	384-34-8164	122 Rotary Drive, Summit, NJ 07901	11-17-38
Gregory H. Webster	Manager	152-64-7820	74 Fuller Avenue, Chatham, NJ 07928	05-06-61

Members (Shareholders)

NAME	% of Ownership	SS#	RESIDENT ADDRESS	BIRTH DATE
The Guardian Insurance & Annuity Company, Inc.	Sole Member (100%)	13-2656036	7 Hanover Square, New York, New York 10004	N/A